

# STATE RACING COMMISSION

## General information (RAC)

### Duties

The Ohio State Racing Commission was established to regulate horseracing and prescribe the rules and conditions under which horse racing may be conducted. Further, the OSRC is tasked with protecting the public interest to maintain proper control or horseracing meetings. (3769-03 of the Revised Code)

### Membership *(Current members, chairperson and other officers, and selection process.)*

Chairman Scott Borgemenke – Exp. March 31, 2023  
Commissioner Beth Hansen – Exp. March 31, 2023  
Commissioner William Patmon, Jr. – Exp. March 31, 2023  
Commissioner Gregory Simpson – Exp. March 31, 2025  
Commissioner Thomas Winters – Exp. March 31, 2025

### Budget *(Current budget, description of budgeting process, sources of funding, and expected increases or decreases in budget or funding in future years.)*

Our current budget is for just over \$30,000,000 which is mostly a pass-through budget due to all the purses paid out and the nearly \$2,000,000 paid to the Department of Agriculture for testing. We have increased the allocations for our Casino Revenue fund as well as our VLT fund in the 24-25 budget for an overall budget of just over \$33,000,000. These were both due to be adjusted due to an increase in Casino Revenues since inception as well as bigger contributions from our horsemen's groups which makes up the VLT fund. The Racing Commission's tax revenues continue to decline which affects our operating fund as well as the standardbred and thoroughbred funds.

**Workload** *(Assess current, past, and anticipated workload. Has the workload increased or decreased significantly in the preceding six years?)*

There is new Federal Legislation that is anticipated to increase the workload of the Staff.

**Staffing** *(How many staff are currently employed by the Commission? What are their roles? Are staffing levels proportionate to the Commission's current and anticipated workload?)*

Executive Director- Oversees the goals and objectives and overall operations of the Commission. Two Deputy Directors- one works with AG's office/ Investigators. The other Deputy Director oversees licensing/Staff/Office functions. Fiscal Officer, Two Administrative Assistants. We also have in the field One Full -time and One part- time Investigator, as well as Three Inspectors. Our staffing levels are proportionate to our budget.

**Administrative hearings and public complaints** *(Describe the Commission's processes for administering discipline and addressing complaints. Assess the efficiency of the processes.)*

The Commission utilizes R.C. 119 to conduct administrative adjudications. The Ohio Attorney General's office represents the Commission in all R.C. 119 adjudications. Discipline issued through the 119-hearing process is documented via adjudication order. Public complaints are addressed individually.

## Racing Officials

### Paddock judge survey response (RAC)

Description
Examines horse's equipment and rider/driver attire to assure compliance with racing specifications.

Type (License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)
License

If the regulation is a registration, certification, or license requirement, please complete the following:	
Number issued annually	9
Number renewed annually	

If the regulation is a registration, certification, or license requirement, please complete the following:	
<b>Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?</b>	
<b>Education or training requirements</b>	Hired by Permit Holder – must be approved by OSRC
<b>Experience requirements</b>	Must have experience to be approved by OSRC
<b>Examination requirements</b> ( <i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i> )	
<b>Continuing education requirements</b> ( <i>Including a description of the curriculum and the process of setting it.</i> )	There is new federal regulation that will require almost all license holders with continuing education.
<b>Initial fee</b>	\$50.00
<b>Duration</b>	All licenses expire on December 31.
<b>Renewal fee</b> ( <i>If different from initial fee, please explain why.</i> )	

If the regulation is a registration, certification, or license requirement, please complete the following:	
Does the Commission recognize uniform licensure requirements or allow for reciprocity?	The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.
Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?	3769.22 National Racing Compact
Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?	
Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?	3769-2-18 Applications for licenses and 3769-2-26 License refused, revoked, suspended state what parameters the Commission may use when determining to issue, refuse, revoke, or suspend a license.
Other information <i>(Significant attributes or prerequisites to licensure not addressed in this chart.)</i>	

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

Licensees appear before the Stewards or Judges in the first step of the administrative process. Licensees have the right to appeal a ruling which follows R.C.119 administrative adjudications.

**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

The Commission is fiscally self-sustained in part through licensure fees.

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

15 U.S.C. Ch57A. The Horseracing Integrity and Safety Act.

**What is the harm that the regulation seeks to prevent? (See, R.C. 4798.02(B).)**

This regulation seeks to prevent harm to the public and instill confidence in the racing product within a highly regulated industry that has vast potential for undesirable persons to undermine the integrity of horseracing.

Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?

Yes, by preventing undesirable persons from participating in racing, and no.

Are there any changes the Commission would like to see implemented?

### **Photographer survey responses (RAC)**

**Description**

Person who takes photos of the horse at wire and in winner's circle.

**Type** (License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)

License

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Number issued annually</b>	5
<b>Number renewed annually</b>	
<b>Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?</b>	
<b>Education or training requirements</b>	Hired by Permit Holder- approved by OSRC
<b>Experience requirements</b>	



**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Examination requirements</b> (<i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i>)</p>	<p>There is new federal regulation that will require almost all license holders with continuing education.</p>
<p><b>Continuing education requirements</b> (<i>Including a description of the curriculum and the process of setting it.</i>)</p>	
<p><b>Initial fee</b></p>	<p>\$50.00</p>
<p><b>Duration</b></p>	<p>All licenses expire on December 31.</p>
<p><b>Renewal fee</b> (<i>If different from initial fee, please explain why.</i>)</p>	
<p><b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b></p>	<p>The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.</p>
<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	<p>3769.22 National Racing Compact</p>

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b>	Persons may work in their occupations without an OSRC license so long as they do not work at a racetrack.
<b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b>	Licensees appear before the Stewards or Judges in the first step of the administrative process. Licensees have the right to appeal a ruling which follows R.C.119 administrative adjudications.
<b>Other information</b> ( <i>Significant attributes or prerequisites to licensure not addressed in this chart.</i> )	

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

Licensees appear before the Stewards or Judges in the first step of the administrative process. Licensees have the right to appeal a ruling which follows R.C.119 administrative adjudications.

**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

The Commission is fiscally self-sustained in part through licensure fees.

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

15 U.S.C. Ch57A. The Horseracing Integrity and Safety Act.

**What is the harm that the regulation seeks to prevent? (See, R.C. 4798.02(B).)**

This regulation seeks to prevent harm to the public and instill confidence in the racing product within a highly regulated industry that has vast potential for undesirable persons to undermine the integrity of horseracing.

**Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?**

Yes, by preventing undesirable persons from participating in racing, and no.

**Are there any changes the Commission would like to see implemented?**

### **Placing judge survey responses (RAC)**

**Description**

Places horses in order of finish and posts information on the tote board.

**Type** (License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)

License

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Number issued annually</b>	4
<b>Number renewed annually</b>	
<b>Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?</b>	
<b>Education or training requirements</b>	Hired by Permit holder
<b>Experience requirements</b>	Must have experience or training to be approved by the OSRC

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Examination requirements</b> (<i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i>)</p>	
<p><b>Continuing education requirements</b> (<i>Including a description of the curriculum and the process of setting it.</i>)</p>	<p>There is new federal regulation that will require almost all license holders with continuing education.</p>
<p><b>Initial fee</b></p>	<p>\$50.00</p>
<p><b>Duration</b></p>	<p>All licenses expire on December 31.</p>
<p><b>Renewal fee</b> (<i>If different from initial fee, please explain why.</i>)</p>	
<p><b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b></p>	<p>The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.</p>
<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	<p>3769.22 National Racing Compact</p>

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b>	
<b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b>	3769-2-18 Applications for licenses and 3769-2-26 License refused, revoked, suspended state what parameters the Commission may use when determining to issue, refuse, revoke, or suspend a license.
<b>Other information</b> ( <i>Significant attributes or prerequisites to licensure not addressed in this chart.</i> )	

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

Licensees appear before the Stewards or Judges in the first step of the administrative process. Licensees have the right to appeal a ruling which follows R.C.119 administrative adjudications.

**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

The Commission is fiscally self- sustained in part through licensure fees.

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

15 U.S.C. Ch57A. The Horseracing Integrity and Safety Act.

**What is the harm that the regulation seeks to prevent? (See, R.C. 4798.02(B).)**

This regulation seeks to prevent harm to the public and instill confidence in the racing product within a highly regulated industry that has vast potential for undesirable persons to undermine the integrity of horseracing.



**Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?**

Yes, by preventing undesirable persons from participating in racing, and no.

**Are there any changes the Commission would like to see implemented?**

### **Presiding judge survey responses (RAC)**

**Description**

This is the State Judge who is paid by the Ohio State Racing Commission.

**Type** (License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)

License

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Number issued annually</b>	11
<b>Number renewed annually</b>	
<b>Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?</b>	
<b>Education or training requirements</b>	Must have USTA and/ or ROAP license/certification
<b>Experience requirements</b>	Must have experience to be approved by the OSRC

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Examination requirements</b> (<i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i>)</p>	<p>Both ROAP and USTA require continuing education.</p>
<p><b>Continuing education requirements</b> (<i>Including a description of the curriculum and the process of setting it.</i>)</p>	
<p><b>Initial fee</b></p>	<p>\$100.00</p>
<p><b>Duration</b></p>	<p>All licenses expire on December 31.</p>
<p><b>Renewal fee</b> (<i>If different from initial fee, please explain why.</i>)</p>	
<p><b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b></p>	<p>The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.</p>
<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b>	
<b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b>	3769-2-18 Applications for licenses and 3769-2-26 License refused, revoked, suspended state what parameters the Commission may use when determining to issue, refuse, revoke, or suspend a license.
<b>Other information</b> ( <i>Significant attributes or prerequisites to licensure not addressed in this chart.</i> )	

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Yes, by preventing undesirable persons from participating in racing, and no.

Are there any changes the Commission would like to see implemented?

### Racing secretary survey responses (RAC)

#### Description

**racing secretary** shall prepare the books of conditions for the races to be run during the meeting and he/she and his/her assistants shall take entries for all races. The **racing secretary** shall assign post positions for each race by lot by drawing a numbered ball from a bottle in the presence of the owners or trainers. The number drawn shall be the post position for the horse.

**Type** (License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)

license

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Number issued annually</b>	10
<b>Number renewed annually</b>	
<b>Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?</b>	
<b>Education or training requirements</b>	Hired by Permit Holder
<b>Experience requirements</b>	Must have experience to be approved by OSRC

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Examination requirements</b> (<i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i>)</p>	
<p><b>Continuing education requirements</b> (<i>Including a description of the curriculum and the process of setting it.</i>)</p>	<p>There is new federal regulation that will require almost all license holders with continuing education.</p>
<p><b>Initial fee</b></p>	<p>\$100.00</p>
<p><b>Duration</b></p>	<p>All licenses expire on December 31.</p>
<p><b>Renewal fee</b> (<i>If different from initial fee, please explain why.</i>)</p>	
<p><b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b></p>	<p>The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.</p>
<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	<p>3769.22 National Racing Compact</p>



**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b>	
<b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b>	3769-2-18 Applications for licenses and 3769-2-26 License refused, revoked, suspended state what parameters the Commission may use when determining to issue, refuse, revoke, or suspend a license.
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Yes, by preventing undesirable persons from participating in racing, and no.

**Are there any changes the Commission would like to see implemented?**

### **Starter survey responses (RAC)**

**Description**

Responsible for getting each animal safely into the starting gate stalls and quiet enough for the race to begin.

**Type** (License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)

License

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Number issued annually</b>	13
<b>Number renewed annually</b>	
<b>Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?</b>	
<b>Education or training requirements</b>	Hired by Permit Holder- Must be approved by OSRC
<b>Experience requirements</b>	Must have experience to be approved by OSRC

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Examination requirements</b> (<i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i>)</p>	
<p><b>Continuing education requirements</b> (<i>Including a description of the curriculum and the process of setting it.</i>)</p>	<p>There is new federal regulation that will require almost all license holders with continuing education.</p>
<p><b>Initial fee</b></p>	<p>\$50.00</p>
<p><b>Duration</b></p>	<p>All licenses expire on December 31.</p>
<p><b>Renewal fee</b> (<i>If different from initial fee, please explain why.</i>)</p>	
<p><b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b></p>	<p>The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.</p>
<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	<p>3769.22 National Racing Compact</p>

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b>	
<b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b>	3769-2-18 Applications for licenses and 3769-2-26 License refused, revoked, suspended state what parameters the Commission may use when determining to issue, refuse, revoke, or suspend a license.
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**Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?**

Yes, by preventing undesirable persons from participating in racing, and no.

**Are there any changes the Commission would like to see implemented?**

### **Steward survey responses (RAC)**

**Description**

Ensures that the rules of racing are adhered to and enforced throughout the day.



**Type** (License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)

License

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Number issued annually</b>	5
<b>Number renewed annually</b>	
<b>Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?</b>	
<b>Education or training requirements</b>	ROAP or USTA certification/license
<b>Experience requirements</b>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Examination requirements</b> (<i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i>)</p>	
<p><b>Continuing education requirements</b> (<i>Including a description of the curriculum and the process of setting it.</i>)</p>	<p>Yes- through ROAP/USTA</p>
<p><b>Initial fee</b></p>	<p>\$50.00</p>
<p><b>Duration</b></p>	<p>All licenses expire on December 31.</p>
<p><b>Renewal fee</b> (<i>If different from initial fee, please explain why.</i>)</p>	
<p><b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b></p>	<p>The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.</p>
<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	<p>3769.22 National Racing Compact</p>

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b>	
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**Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?**

Yes, by preventing undesirable persons from participating in racing, and no

**Are there any changes the Commission would like to see implemented?**

### **State steward survey responses (RAC)**

**Description**

See Presiding Judge- State Steward is for Thoroughbred/Presiding Judge is Harness

## Timer survey responses (RAC)

Description
Keeps times on the horses.

Type <i>(License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)</i>
License

If the regulation is a registration, certification, or license requirement, please complete the following:	
Number issued annually	69
Number renewed annually	
Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?	

If the regulation is a registration, certification, or license requirement, please complete the following:	
<b>Education or training requirements</b>	Hired by Permit Holder- Must be approved by OSRC
<b>Experience requirements</b>	Must have experience or training to be approved by OSRC
<b>Examination requirements</b> ( <i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i> )	
<b>Continuing education requirements</b> ( <i>Including a description of the curriculum and the process of setting it.</i> )	
<b>Initial fee</b>	\$15.00
<b>Duration</b>	All licenses expire on December 31.
<b>Renewal fee</b> ( <i>If different from initial fee, please explain why.</i> )	
<b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b>	The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	<p>15 U.S.C. Ch57A. The Horseracing Integrity and Safety Act.</p>
<p><b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b></p>	
<p><b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b></p>	<p>3769-2-18 Applications for licenses and 3769-2-26 License refused, revoked, suspended state what parameters the Commission may use when determining to issue, refuse, revoke, or suspend a license.</p>
<p><b>Other information</b> (<i>Significant attributes or prerequisites to licensure not addressed in this chart.</i>)</p>	

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

Licensees appear before the Stewards or Judges in the first step of the administrative process. Licensees have the right to appeal a ruling which follows R.C.119 administrative adjudications.



**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

The Commission is fiscally self-sustained in part through licensure fees.

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

15 U.S.C. Ch57A. The Horseracing Integrity and Safety Act.

**What is the harm that the regulation seeks to prevent? (See, R.C. 4798.02(B).)**

This regulation seeks to prevent harm to the public and instill confidence in the racing product within a highly regulated industry that has vast potential for undesirable persons to undermine the integrity of horseracing.

**Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?**

Yes, by preventing undesirable persons from participating in racing, and no.

**Are there any changes the Commission would like to see implemented?**

### **Restricted license – racing official survey responses (RAC)**

**Description**

See Racing Official- This is restricted to fairs.

**Type** (License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)

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**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Number issued annually</b>	
<b>Number renewed annually</b>	
<b>Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?</b>	
<b>Education or training requirements</b>	
<b>Experience requirements</b>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Examination requirements</b> (<i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i>)</p>	
<p><b>Continuing education requirements</b> (<i>Including a description of the curriculum and the process of setting it.</i>)</p>	
<p><b>Initial fee</b></p>	<p>\$10.00</p>
<p><b>Duration</b></p>	<p>All licenses expire on December 31.</p>
<p><b>Renewal fee</b> (<i>If different from initial fee, please explain why.</i>)</p>	
<p><b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b></p>	<p>The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.</p>
<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

**Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?**

**Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?**

**Other information** (*Significant attributes or prerequisites to licensure not addressed in this chart.*)

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

**What is the harm that the regulation seeks to prevent? (See, R.C. 4798.02(B).)**

Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?

Are there any changes the Commission would like to see implemented?

### **Announcer (race or track) survey responses (RAC)**

**Description**

Announces changes, races and programs.

**Type** (License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)

License

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Number issued annually</b>	9
<b>Number renewed annually</b>	
<b>Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?</b>	
<b>Education or training requirements</b>	Hired by permit holder. Must be approved by OSRC
<b>Experience requirements</b>	



**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Examination requirements</b> (<i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i>)</p>	
<p><b>Continuing education requirements</b> (<i>Including a description of the curriculum and the process of setting it.</i>)</p>	<p>There is new federal regulation that will require almost all license holders with continuing education.</p>
<p><b>Initial fee</b></p>	
<p><b>Duration</b></p>	<p>All licenses expire on December 31.</p>
<p><b>Renewal fee</b> (<i>If different from initial fee, please explain why.</i>)</p>	
<p><b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b></p>	<p>The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.</p>
<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b>	
<b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b>	3769-2-18 Applications for licenses and 3769-2-26 License refused, revoked, suspended state what parameters the Commission may use when determining to issue, refuse, revoke, or suspend a license.
<b>Other information</b> ( <i>Significant attributes or prerequisites to licensure not addressed in this chart.</i> )	

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

Licensees appear before the Stewards or Judges in the first step of the administrative process. Licensees have the right to appeal a ruling which follows R.C.119 administrative adjudications.

**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

The Commission is fiscally self-sustained in part through licensure fees.

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

15 U.S.C. Ch57A. The Horseracing Integrity and Safety Act.

**What is the harm that the regulation seeks to prevent? (See, R.C. 4798.02(B).)**

This regulation seeks to prevent harm to the public and instill confidence in the racing product within a highly regulated industry that has vast potential for undesirable persons to undermine the integrity of horseracing.

**Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?**

Yes, by preventing undesirable persons from participating in racing, and no.

**Are there any changes the Commission would like to see implemented?**

### **Assistant racing secretary survey responses (RAC)**

**Description**

Assists the Racing Secretary

**Type** (License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)

License

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Number issued annually</b>	3
<b>Number renewed annually</b>	
<b>Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?</b>	
<b>Education or training requirements</b>	On the job training hired by Permit holder- Must be approved by OSRC
<b>Experience requirements</b>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Examination requirements</b> (<i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i>)</p>	
<p><b>Continuing education requirements</b> (<i>Including a description of the curriculum and the process of setting it.</i>)</p>	<p>There is new federal regulation that will require almost all license holders with continuing education.</p>
<p><b>Initial fee</b></p>	
<p><b>Duration</b></p>	<p>All licenses expire on December 31.</p>
<p><b>Renewal fee</b> (<i>If different from initial fee, please explain why.</i>)</p>	
<p><b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b></p>	<p>The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.</p>
<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b></p>	
<p><b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b></p>	<p>3769-2-18 Applications for licenses and 3769-2-26 License refused, revoked, suspended state what parameters the Commission may use when determining to issue, refuse, revoke, or suspend a license.</p>
<p><b>Other information</b> (<i>Significant attributes or prerequisites to licensure not addressed in this chart.</i>)</p>	

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

Licensees appear before the Stewards or Judges in the first step of the administrative process. Licensees have the right to appeal a ruling which follows R.C.119 administrative adjudications.

**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

The Commission is fiscally self-sustained in part through licensure fees.

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

15 U.S.C. Ch57A. The Horseracing Integrity and Safety Act.

**What is the harm that the regulation seeks to prevent? (See, R.C. 4798.02(B).)**

This regulation seeks to prevent harm to the public and instill confidence in the racing product within a highly regulated industry that has vast potential for undesirable persons to undermine the integrity of horseracing.



**Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?**

Yes, by preventing undesirable persons from participating in racing, and no.

**Are there any changes the Commission would like to see implemented?**

### **Assistant starter survey responses (RAC)**

**Description**

Performs a variety of assigned staff duties related to the handling, guiding and loading of horses into the starting gate

**Type** (License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)

License

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Number issued annually</b>	31
<b>Number renewed annually</b>	
<b>Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?</b>	
<b>Education or training requirements</b>	Hired by permit holder- Must be approved by OSRC
<b>Experience requirements</b>	Horse Experience

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Examination requirements</b> (<i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i>)</p>	
<p><b>Continuing education requirements</b> (<i>Including a description of the curriculum and the process of setting it.</i>)</p>	<p>There is new federal regulation that will require almost all license holders with continuing education.</p>
<p><b>Initial fee</b></p>	<p>\$50.00</p>
<p><b>Duration</b></p>	<p>All licenses expire on December 31.</p>
<p><b>Renewal fee</b> (<i>If different from initial fee, please explain why.</i>)</p>	
<p><b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b></p>	<p>The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.</p>
<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b>	
<b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b>	3769-2-18 Applications for licenses and 3769-2-26 License refused, revoked, suspended state what parameters the Commission may use when determining to issue, refuse, revoke, or suspend a license.
<b>Other information</b> ( <i>Significant attributes or prerequisites to licensure not addressed in this chart.</i> )	

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

Licensees appear before the Stewards or Judges in the first step of the administrative process. Licensees have the right to appeal a ruling which follows R.C.119 administrative adjudications.

**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

The Commission is fiscally self-sustained in part through licensure fees.

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

15 U.S.C. Ch57A. The Horseracing Integrity and Safety Act.

**What is the harm that the regulation seeks to prevent? (See, R.C. 4798.02(B).)**

This regulation seeks to prevent harm to the public and instill confidence in the racing product within a highly regulated industry that has vast potential for undesirable persons to undermine the integrity of horseracing.

**Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?**

Yes, by preventing undesirable persons from participating in racing, and no.

**Are there any changes the Commission would like to see implemented?**

### **Clerk of course survey responses (RAC)**

**Description**

Responsible for all matters relating to track management and race day preparation

**Type** (License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)

license

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Number issued annually</b>	12
<b>Number renewed annually</b>	
<b>Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?</b>	
<b>Education or training requirements</b>	On Job training-Hired by Permit holder- Must be approved by OSRC
<b>Experience requirements</b>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Examination requirements</b> (<i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i>)</p>	
<p><b>Continuing education requirements</b> (<i>Including a description of the curriculum and the process of setting it.</i>)</p>	
<p><b>Initial fee</b></p>	<p>\$50.00</p>
<p><b>Duration</b></p>	<p>All licenses expire on December 31.</p>
<p><b>Renewal fee</b> (<i>If different from initial fee, please explain why.</i>)</p>	
<p><b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b></p>	<p>The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.</p>
<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	



**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b>	
<b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b>	3769-2-18 Applications for licenses and 3769-2-26 License refused, revoked, suspended state what parameters the Commission may use when determining to issue, refuse, revoke, or suspend a license
<b>Other information</b> ( <i>Significant attributes or prerequisites to licensure not addressed in this chart.</i> )	

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

Licensees appear before the Stewards or Judges in the first step of the administrative process. Licensees have the right to appeal a ruling which follows R.C.119 administrative adjudications.

**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

The Commission is fiscally self-sustained in part through licensure fees.

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

**What is the harm that the regulation seeks to prevent? (See, R.C. 4798.02(B).)**

Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?

Are there any changes the Commission would like to see implemented?

### **Clerk of scales survey responses (RAC)**

#### **Description**

Clerk of scales shall weigh jockeys out and in, and he shall record and publish any overweight or variation from the weight appearing on the card

**Type** (License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)

License

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Number issued annually</b>	3
<b>Number renewed annually</b>	
<b>Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?</b>	
<b>Education or training requirements</b>	Hired by permit holder- Must be approved by OSRC
<b>Experience requirements</b>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Examination requirements</b> (<i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i>)</p>	
<p><b>Continuing education requirements</b> (<i>Including a description of the curriculum and the process of setting it.</i>)</p>	<p>There is new federal regulation that will require almost all license holders with continuing education.</p>
<p><b>Initial fee</b></p>	<p>\$50.00</p>
<p><b>Duration</b></p>	<p>All licenses expire on December 31.</p>
<p><b>Renewal fee</b> (<i>If different from initial fee, please explain why.</i>)</p>	
<p><b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b></p>	<p>The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.</p>
<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b>	
<b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b>	3769-2-18 Applications for licenses and 3769-2-26 License refused, revoked, suspended state what parameters the Commission may use when determining to issue, refuse, revoke, or suspend a license.
<b>Other information</b> ( <i>Significant attributes or prerequisites to licensure not addressed in this chart.</i> )	

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

Licensees appear before the Stewards or Judges in the first step of the administrative process. Licensees have the right to appeal a ruling which follows R.C.119 administrative adjudications.

**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

The Commission is fiscally self- sustained in part through licensure fees.

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

15 U.S.C. Ch57A. The Horseracing Integrity and Safety Act.

**What is the harm that the regulation seeks to prevent? (See, R.C. 4798.02(B).)**

This regulation seeks to prevent harm to the public and instill confidence in the racing product within a highly regulated industry that has vast potential for undesirable persons to undermine the integrity of horseracing.

**Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?**

Yes, by preventing undesirable persons from participating in racing, and no

**Are there any changes the Commission would like to see implemented?**

### **Horsemen's bookkeeper survey responses (RAC)**

**Description**

Perform various activities for maintaining horsemen's accounts in accordance with policies and procedures



**Type** (License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)

License

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Number issued annually</b>	6
<b>Number renewed annually</b>	
<b>Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?</b>	
<b>Education or training requirements</b>	Hired by Permit holder- Must be approved by OSRC
<b>Experience requirements</b>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Examination requirements</b> (<i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i>)</p>	
<p><b>Continuing education requirements</b> (<i>Including a description of the curriculum and the process of setting it.</i>)</p>	
<p><b>Initial fee</b></p>	<p>\$50.00</p>
<p><b>Duration</b></p>	<p>All licenses expire on December 31.</p>
<p><b>Renewal fee</b> (<i>If different from initial fee, please explain why.</i>)</p>	
<p><b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b></p>	<p>The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.</p>
<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b>	Persons may take work in their occupations without an OSRC license so long as they do not work at a racetrack.
<b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b>	3769-2-18 Applications for licenses and 3769-2-26 License refused, revoked, suspended state what parameters the Commission may use when determining to issue, refuse, revoke, or suspend a license.
<b>Other information</b> ( <i>Significant attributes or prerequisites to licensure not addressed in this chart.</i> )	

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

Licensees appear before the Stewards or Judges in the first step of the administrative process. Licensees have the right to appeal a ruling which follows R.C.119 administrative adjudications.

**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

The Commission is fiscally self-sustained in part through licensure fees.

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

15 U.S.C. Ch57A. The Horseracing Integrity and Safety Act.

**What is the harm that the regulation seeks to prevent? (See, R.C. 4798.02(B).)**

This regulation seeks to prevent harm to the public and instill confidence in the racing product within a highly regulated industry that has vast potential for undesirable persons to undermine the integrity of horseracing.

**Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?**

Yes, by preventing undesirable persons from participating in racing, and no.

**Are there any changes the Commission would like to see implemented?**

### **Identifier survey responses (RAC)**

**Description**

Identifies that the correct horse is in the race.

**Type** (License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)

License

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Number issued annually</b>	8
<b>Number renewed annually</b>	
<b>Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?</b>	
<b>Education or training requirements</b>	Hired by permit holder-must be approved by OSRC
<b>Experience requirements</b>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Examination requirements</b> (<i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i>)</p>	
<p><b>Continuing education requirements</b> (<i>Including a description of the curriculum and the process of setting it.</i>)</p>	<p>There is new federal regulation that will require almost all license holders with continuing education.</p>
<p><b>Initial fee</b></p>	<p>\$50.00</p>
<p><b>Duration</b></p>	<p>All licenses expire on December 31.</p>
<p><b>Renewal fee</b> (<i>If different from initial fee, please explain why.</i>)</p>	
<p><b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b></p>	<p>The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.</p>
<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b>	
<b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b>	3769-2-18 Applications for licenses and 3769-2-26 License refused, revoked, suspended state what parameters the Commission may use when determining to issue, refuse, revoke, or suspend a license.
<b>Other information</b> ( <i>Significant attributes or prerequisites to licensure not addressed in this chart.</i> )	

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

Licensees appear before the Stewards or Judges in the first step of the administrative process. Licensees have the right to appeal a ruling which follows R.C.119 administrative adjudications.



**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

The Commission is fiscally self-sustained in part through licensure fees.

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

15 U.S.C. Ch57A. The Horseracing Integrity and Safety Act.

**What is the harm that the regulation seeks to prevent? (See, R.C. 4798.02(B).)**

This regulation seeks to prevent harm to the public and instill confidence in the racing product within a highly regulated industry that has vast potential for undesirable persons to undermine the integrity of horseracing.

**Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?**

Yes, by preventing undesirable persons from participating in racing, and no.

**Are there any changes the Commission would like to see implemented?**

### **Jockey room custodian survey responses (RAC)**

**Description**

Ensures that jockeys scheduled to ride are present in jockey room at racetrack and that their dress and riding equipment meet requirements.

**Type** (License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)

License

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Number issued annually</b>	2
<b>Number renewed annually</b>	
<b>Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?</b>	
<b>Education or training requirements</b>	Hired by permit holder- Must be approved by OSRC
<b>Experience requirements</b>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Examination requirements</b> (<i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i>)</p>	
<p><b>Continuing education requirements</b> (<i>Including a description of the curriculum and the process of setting it.</i>)</p>	<p>There is new federal regulation that will require almost all license holders with continuing education.</p>
<p><b>Initial fee</b></p>	
<p><b>Duration</b></p>	<p>All licenses expire on December 31.</p>
<p><b>Renewal fee</b> (<i>If different from initial fee, please explain why.</i>)</p>	
<p><b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b></p>	<p>The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.</p>
<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b>	
<b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b>	3769-2-18 Applications for licenses and 3769-2-26 License refused, revoked, suspended state what parameters the Commission may use when determining to issue, refuse, revoke, or suspend a license.
<b>Other information</b> ( <i>Significant attributes or prerequisites to licensure not addressed in this chart.</i> )	

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

Licensees appear before the Stewards or Judges in the first step of the administrative process. Licensees have the right to appeal a ruling which follows R.C.119 administrative adjudications.

**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

The Commission is fiscally self-sustained in part through licensure fees.

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

15 U.S.C. Ch57A. The Horseracing Integrity and Safety Act.

**What is the harm that the regulation seeks to prevent? (See, R.C. 4798.02(B).)**

This regulation seeks to prevent harm to the public and instill confidence in the racing product within a highly regulated industry that has vast potential for undesirable persons to undermine the integrity of horseracing.

Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?

Yes, by preventing undesirable persons from participating in racing, and no

Are there any changes the Commission would like to see implemented?

### **Judge survey responses (RAC)**

**Description**

Responsible for ensuring that the races are fair and all rules are followed.

**Type** (License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)

License

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Number issued annually</b>	76
<b>Number renewed annually</b>	
<b>Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?</b>	
<b>Education or training requirements</b>	ROAP certified
<b>Experience requirements</b>	



**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Examination requirements</b> (<i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i>)</p>	
<p><b>Continuing education requirements</b> (<i>Including a description of the curriculum and the process of setting it.</i>)</p>	ROAP required
<p><b>Initial fee</b></p>	\$50.00
<p><b>Duration</b></p>	All licenses expire on December 31.
<p><b>Renewal fee</b> (<i>If different from initial fee, please explain why.</i>)</p>	
<p><b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b></p>	The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.
<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b>	
<b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b>	3769-2-18 Applications for licenses and 3769-2-26 License refused, revoked, suspended state what parameters the Commission may use when determining to issue, refuse, revoke, or suspend a license.
<b>Other information</b> ( <i>Significant attributes or prerequisites to licensure not addressed in this chart.</i> )	

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

Licensees appear before the Stewards or Judges in the first step of the administrative process. Licensees have the right to appeal a ruling which follows R.C.119 administrative adjudications.

**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

The Commission is fiscally self-sustained in part through licensure fees.

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

**What is the harm that the regulation seeks to prevent? (See, R.C. 4798.02(B).)**

Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?

Are there any changes the Commission would like to see implemented?

### Surrounding state comparison (LSC)

**Racing Officials** (*Announcer, Clerk of Course, Clerk of Scales, Clerical, Horsemen’s Bookkeeper, Identifier, Judge, Presiding Judge, Paddock Judge, Patrol Judge, Placing Judge, Photographer, Racing Official – Restricted, Jockey Room Custodian, Racing Secretary, Assistant Racing Secretary, Starter, Assistant Starter, State Steward, Steward, and Timer*)

	Ohio	Indiana	Kentucky	Michigan	Pennsylvania	West Virginia
Type of regulation	License ( <i>R.C. 3769.03; O.A.C. 3769-2-18</i> ).	License ( <i>Ind. Code 4-31-6; 71 Ind. Admin. Code 3 and 3.5</i> ).	License ( <i>Ky. Rev. Stat. 230.310; 810 Ky. Admin. Regs. 3:020</i> ).	License ( <i>Mich. Comp. Laws 431.308 and 431.316; Mich. Admin. Code R. 431.1035</i> ).	License ( <i>3 Pa. Cons. Stat. 9323; 7 Pa. Code 185.1, 203.1, and 303.1</i> ).	Permit ( <i>W. Va. Code 19-23-2, 19-23-7, and 19-23-8 and W. Va. Code R. 178-1-24</i> ).

**Racing Officials** (*Announcer, Clerk of Course, Clerk of Scales, Clerical, Horsemen’s Bookkeeper, Identifier, Judge, Presiding Judge, Paddock Judge, Patrol Judge, Placing Judge, Photographer, Racing Official – Restricted, Jockey Room Custodian, Racing Secretary, Assistant Racing Secretary, Starter, Assistant Starter, State Steward, Steward, and Timer*)

	Ohio	Indiana	Kentucky	Michigan	Pennsylvania	West Virginia
Education or training	N/A	Must have sufficient knowledge or experience and, for a judge, the necessary temperament (71 <i>Ind. Admin. Code 3-1-2 and 3.5-1-2; Ind. Code 4-31-6-7</i> ).	N/A	N/A	Must be familiar with the duties of the position and with the applicable rules (7 <i>Pa. Code 205.22 and 305.12</i> ).	See below.
Experience	N/A	See above.	N/A	N/A	Must be experienced in the applicable type of racing (7 <i>Pa. Code 205.22 and 305.12</i> ).	Must be experienced in racing and familiar with the duties of the position ( <i>W. Va. Code R. 178-1-7</i> ).
Exam	N/A	N/A	N/A	N/A	Starter must pass an exam.  For all others, none.  (7 <i>Pa. Code 205.111.</i> )	N/A

**Racing Officials** (*Announcer, Clerk of Course, Clerk of Scales, Clerical, Horsemen’s Bookkeeper, Identifier, Judge, Presiding Judge, Paddock Judge, Patrol Judge, Placing Judge, Photographer, Racing Official – Restricted, Jockey Room Custodian, Racing Secretary, Assistant Racing Secretary, Starter, Assistant Starter, State Steward, Steward, and Timer*)

	Ohio	Indiana	Kentucky	Michigan	Pennsylvania	West Virginia
Continuing education	N/A	N/A	N/A	N/A	N/A	N/A
Initial licensure fee	<p>For a restricted license, \$10.</p> <p>For clerical, jockey room custodian, or timer, \$15.</p> <p>For presiding judge, racing secretary, or state steward, \$100.</p> <p>For all others, \$50.</p> <p><i>(O.A.C. 3769-2-24 and 3769-12-24.)</i></p>	<p>For employee of the Indiana Horse Racing Commission, \$0.</p> <p>For employee of a track, \$35.</p> <p><i>(Indiana Horse Racing Commission, <a href="#">License Fees</a>).</i></p>	<p>For racing department employee, \$100.</p> <p>For racing official, \$35 to \$100, depending on horse breed.</p> <p><i>(810 Ky. Admin. Regs. 3:020.)</i></p>	<p>\$10 (<i>Mich. Comp. Laws 431.316(9)</i>).</p>	<p>\$100 (<i>Pennsylvania State Horse Racing Commission, <a href="#">License Fee Schedule (PDF)</a></i>).</p>	<p>For starter, racing secretary, or assistant racing secretary, \$30.</p> <p>For all others, \$20.</p> <p><i>(W. Va. Code R. Table 178-1 A).</i></p>
License duration	<p>One year (<i>R.C. 3769.03</i>).</p>	<p>One year (<i>Ind. Code 4-31-6-4</i>).</p>	<p>One year (<i>810 Ky. Admin. Regs. 3:020</i>).</p>	<p>One year (<i>Michigan Gaming Control Board Horse Racing Section, <a href="#">Occupational License Application (PDF)</a></i>).</p>	<p>Three years (<i>3 Pa. Cons. Stat. 9323</i>).</p>	<p>One year (<i>W. Va. Code 19-23-8</i>).</p>

**Racing Officials** (*Announcer, Clerk of Course, Clerk of Scales, Clerical, Horsemen’s Bookkeeper, Identifier, Judge, Presiding Judge, Paddock Judge, Patrol Judge, Placing Judge, Photographer, Racing Official – Restricted, Jockey Room Custodian, Racing Secretary, Assistant Racing Secretary, Starter, Assistant Starter, State Steward, Steward, and Timer*)

	Ohio	Indiana	Kentucky	Michigan	Pennsylvania	West Virginia
Renewal fee	Same as initial fee.	Same as initial fee.	Same as initial fee.	Same as initial fee.	Same as initial fee.	Same as initial fee.

## Betting staff

### Mutuel employee survey responses (RAC)

#### Description

This would be the employees of the permit holder that take the wagers, issuing tickets.

**Type** (*License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.*)

License

If the regulation is a registration, certification, or license requirement, please complete the following:	
<b>Number issued annually</b>	179
<b>Number renewed annually</b>	
<b>Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?</b>	No
<b>Education or training requirements</b>	On the job training by permit holder.
<b>Experience requirements</b>	
<b>Examination requirements</b> ( <i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i> )	
<b>Continuing education requirements</b> ( <i>Including a description of the curriculum and the process of setting it.</i> )	There is new federal regulation that will require almost all license holders with continuing education.
<b>Initial fee</b>	\$15.00 plus fingerprints
<b>Duration</b>	All licenses expire on December 31.



**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Renewal fee</b> <i>(If different from initial fee, please explain why.)</i>	
<b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b>	The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.
<b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b>	3769.22 National Racing Compact
<b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b>	
<b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b>	3769-2-18 Applications for licenses and 3769-2-26 License refused, revoked, suspended state what parameters the Commission may use when determining to issue, refuse, revoke, or suspend a license.
<b>Other information</b> <i>(Significant attributes or prerequisites to licensure not addressed in this chart.)</i>	

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

Licensees appear before the Stewards or Judges in the first step of the administrative process. Licensees have the right to appeal a ruling which follows R. C. 119 administrative adjudications.

**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

The Commission is fiscally self-sustained in part through licensure fees.

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

15 U.S.C. Ch. 57A. The Horseracing Integrity and Safety Act.

**What is the harm that the regulation seeks to prevent? (See, R.C. 4798.02(B).)**

This regulation seeks to prevent harm to the public and instill confidence in the racing product within a highly regulated industry that has vast potential for undesirable persons to undermine the integrity of horseracing.

**Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?**

Yes, by preventing undesirable persons from participating in racing, and no.

**Are there any changes the Commission would like to see implemented?**

## Mutuel manager survey responses (RAC)

Description
Would oversee all day-to-day operations in the mutuel room, as well as supervising of tellers.

Type <i>(License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)</i>
License

If the regulation is a registration, certification, or license requirement, please complete the following:	
Number issued annually	12
Number renewed annually	
Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?	No

If the regulation is a registration, certification, or license requirement, please complete the following:	
<b>Education or training requirements</b>	At Permit Holder's discretion.
<b>Experience requirements</b>	Would need experience for OSRC approval.
<b>Examination requirements</b> ( <i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i> )	N/A
<b>Continuing education requirements</b> ( <i>Including a description of the curriculum and the process of setting it.</i> )	There is new federal regulation that will require almost all license holders with continuing education.
<b>Initial fee</b>	\$50.00
<b>Duration</b>	All licenses expire on December 31.
<b>Renewal fee</b> ( <i>If different from initial fee, please explain why.</i> )	
<b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b>	The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	<p>3769.22 National Racing Compact</p>
<p><b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b></p>	
<p><b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b></p>	<p>3769-2-18 Applications for licenses and 3769-2-26 License refused, revoked, suspended state what parameters the Commission may use when determining to issue, refuse, revoke, or suspend a license.</p>
<p><b>Other information</b> (<i>Significant attributes or prerequisites to licensure not addressed in this chart.</i>)</p>	

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

Licensees appear before the Stewards or Judges in the first step of the administrative process. Licensees have the right to appeal a ruling which follows R.C.119 administrative adjudications.

**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

The Commission is fiscally self-sustained in part through licensure fees.

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

15 U.S.C. Ch57A. The Horseracing Integrity and Safety Act.

**What is the harm that the regulation seeks to prevent? (See, R.C. 4798.02(B).)**

This regulation seeks to prevent harm to the public and instill confidence in the racing product within a highly regulated industry that has vast potential for undesirable persons to undermine the integrity of horseracing.

**Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?**

Yes, by preventing undesirable persons from participating in racing, and no.

**Are there any changes the Commission would like to see implemented?**

### **Restricted license – mutuel employee survey responses (RAC)**

**Description**

See Mutuel Employee. This is a fair license. Which would restrict to fairs.



**Type** (License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)

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**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Number issued annually</b>	
<b>Number renewed annually</b>	
<b>Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?</b>	
<b>Education or training requirements</b>	
<b>Experience requirements</b>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Examination requirements</b> (<i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i>)</p>	
<p><b>Continuing education requirements</b> (<i>Including a description of the curriculum and the process of setting it.</i>)</p>	
<p><b>Initial fee</b></p>	<p>\$10.00</p>
<p><b>Duration</b></p>	<p>All licenses expire on December 31.</p>
<p><b>Renewal fee</b> (<i>If different from initial fee, please explain why.</i>)</p>	
<p><b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b></p>	<p>The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.</p>
<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

**Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?**

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**Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?**

--

**Other information** (*Significant attributes or prerequisites to licensure not addressed in this chart.*)

--

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

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**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

**What is the harm that the regulation seeks to prevent? (*See, R.C. 4798.02(B).*)**

Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?

Are there any changes the Commission would like to see implemented?

### **Video lottery terminal sales agent employee survey responses (RAC)**

#### **Description**

These are dual licenses issued by the lottery. These normally consist of employees that work on the gaming side as well as the track side. They are a variety of licenses such as concession workers, maintenance, security etc.

**Type** (License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)

License

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Number issued annually</b>	2407
<b>Number renewed annually</b>	
<b>Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?</b>	
<b>Education or training requirements</b>	Depends on License- Hired by permit holder-Must be approved by OSRC
<b>Experience requirements</b>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Examination requirements</b> (<i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i>)</p>	
<p><b>Continuing education requirements</b> (<i>Including a description of the curriculum and the process of setting it.</i>)</p>	<p>There is new federal regulation that will require almost all license holders with continuing education.</p>
<p><b>Initial fee</b></p>	
<p><b>Duration</b></p>	<p>All licenses expire on December 31.</p>
<p><b>Renewal fee</b> (<i>If different from initial fee, please explain why.</i>)</p>	
<p><b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b></p>	<p>The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.</p>
<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b>	Persons may take work in their occupations without an OSRC license so long as they do not work at a racetrack.
<b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b>	3769-2-18 Applications for licenses and 3769-2-26 License refused, revoked, suspended state what parameters the Commission may use when determining to issue, refuse, revoke, or suspend a license.
<b>Other information</b> ( <i>Significant attributes or prerequisites to licensure not addressed in this chart.</i> )	

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

Licensees appear before the Stewards or Judges in the first step of the administrative process. Licensees have the right to appeal a ruling which follows R.C.119 administrative adjudications



**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

The Commission is fiscally self-sustained in part through licensure fees.

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

15 U.S.C. Ch57A. The Horseracing Integrity and Safety Act.

**What is the harm that the regulation seeks to prevent? (See, R.C. 4798.02(B).)**

This regulation seeks to prevent harm to the public and instill confidence in the racing product within a highly regulated industry that has vast potential for undesirable persons to undermine the integrity of horseracing.

**Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?**

Yes, by preventing undesirable persons from participating in racing, and no.

**Are there any changes the Commission would like to see implemented?**

### **Totalizator company survey responses (RAC)**

**Description**

This is the license for the Tote system to operate in the state of Ohio

**Type** (License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)

License.

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Number issued annually</b>	4
<b>Number renewed annually</b>	
<b>Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?</b>	
<b>Education or training requirements</b>	
<b>Experience requirements</b>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Examination requirements</b> (<i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i>)</p>	
<p><b>Continuing education requirements</b> (<i>Including a description of the curriculum and the process of setting it.</i>)</p>	
<p><b>Initial fee</b></p>	<p>\$1,000</p>
<p><b>Duration</b></p>	<p>All licenses expire on December 31.</p>
<p><b>Renewal fee</b> (<i>If different from initial fee, please explain why.</i>)</p>	
<p><b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b></p>	<p>The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.</p>
<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b>	
<b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b>	3769-2-18 Applications for licenses and 3769-2-26 License refused, revoked, suspended state what parameters the Commission may use when determining to issue, refuse, revoke, or suspend a license
<b>Other information</b> ( <i>Significant attributes or prerequisites to licensure not addressed in this chart.</i> )	This is a company business license.

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

Licensees appear before the Stewards or Judges in the first step of the administrative process. Licensees have the right to appeal a ruling which follows R.C. 119 administrative adjudications.

**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

The Commission is fiscally self-sustained in part through licensure fees.

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

15 U.S.C. Ch57A. The Horseracing Integrity and Safety Act.

**What is the harm that the regulation seeks to prevent? (See, R.C. 4798.02(B).)**

This regulation seeks to prevent harm to the public and instill confidence in the racing product within a highly regulated industry that has vast potential for undesirable persons to undermine the integrity of horseracing.

**Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?**

Yes, by preventing undesirable persons from participating in racing, and no.

**Are there any changes the Commission would like to see implemented?**

### **Totalizator company management supervisory employee survey responses (RAC)**

**Description**

Manages the totalizator room at the racetrack.

**Type** (License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)

License

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Number issued annually</b>	3- but some may be issued under special B as well.
<b>Number renewed annually</b>	
<b>Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?</b>	
<b>Education or training requirements</b>	Provided by Totalizator company -Hired by Totalizator company. Must be approved by OSRC.
<b>Experience requirements</b>	



**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Examination requirements</b> (<i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i>)</p>	
<p><b>Continuing education requirements</b> (<i>Including a description of the curriculum and the process of setting it.</i>)</p>	
<p><b>Initial fee</b></p>	<p>\$50.00</p>
<p><b>Duration</b></p>	<p>All licenses expire on December 31.</p>
<p><b>Renewal fee</b> (<i>If different from initial fee, please explain why.</i>)</p>	
<p><b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b></p>	<p>The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.</p>
<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b>	
<b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b>	3769-2-18 Applications for licenses and 3769-2-26 License refused, revoked, suspended state what parameters the Commission may use when determining to issue, refuse, revoke, or suspend a license.
<b>Other information</b> ( <i>Significant attributes or prerequisites to licensure not addressed in this chart.</i> )	

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

Licensees appear before the Stewards or Judges in the first step of the administrative process. Licensees have the right to appeal a ruling which follows R.C.119 administrative adjudications.

**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

The Commission is fiscally self-sustained in part through licensure fees.

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

15 U.S.C. Ch57A. The Horseracing Integrity and Safety Act.

**What is the harm that the regulation seeks to prevent? (See, R.C. 4798.02(B).)**

This regulation seeks to prevent harm to the public and instill confidence in the racing product within a highly regulated industry that has vast potential for undesirable persons to undermine the integrity of horseracing.

**Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?**

Yes, by preventing undesirable persons from participating in racing, and no.

**Are there any changes the Commission would like to see implemented?**

### **Totalizator company other employee survey responses (RAC)**

**Description**

N/A -These would be included in Special B/ Special A

## Restricted license – totalizator survey responses (RAC)

Description
<p>This is for the totalizator company- This license is restricted to Fairs. See Totalizator.</p>

Type <i>(License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)</i>

If the regulation is a registration, certification, or license requirement, please complete the following:	
Number issued annually	
Number renewed annually	
Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?	

If the regulation is a registration, certification, or license requirement, please complete the following:	
<b>Education or training requirements</b>	
<b>Experience requirements</b>	
<b>Examination requirements</b> ( <i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i> )	
<b>Continuing education requirements</b> ( <i>Including a description of the curriculum and the process of setting it.</i> )	
<b>Initial fee</b>	\$100.00
<b>Duration</b>	All licenses expire 12/31/2022
<b>Renewal fee</b> ( <i>If different from initial fee, please explain why.</i> )	
<b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

**Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?**

**Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?**

**Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?**

**Other information** (*Significant attributes or prerequisites to licensure not addressed in this chart.*)

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

**What is the harm that the regulation seeks to prevent? (See, R.C. 4798.02(B).)**



Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?

Are there any changes the Commission would like to see implemented?

**Surrounding state comparison (LSC)**

*Betting Staff (Mutuel Employee, Mutuel Employee – Restricted, Mutuel Manager, Telephone Operator, Totalizator Company Management Supervisory Employee, and Totalizator Company Employee)*

	Ohio	Indiana	Kentucky	Michigan	Pennsylvania	West Virginia
Type of regulation	License (R.C. 3769.03; O.A.C. 3769-2-18).	License (Ind. Code 4-31-6; 71 Ind. Admin. Code 5 and 5.5).	License (Ky. Rev. Stat. 230.310; 810 Ky. Admin. Regs. 3:020).	License (Mich. Comp. Laws 431.308 and 431.316; Mich. Admin. Code R. 431.1035).	Registration – employer must submit a list of personnel and, upon request, a copy of its payroll	Permit (W. Va. Code 19-23-2, 19-23-7, and 19-23-8; W. Va. Code R. 178-1-24).

**Betting Staff (Mutuel Employee, Mutuel Employee – Restricted, Mutuel Manager, Telephone Operator, Totalizator Company Management Supervisory Employee, and Totalizator Company Employee)**

	Ohio	Indiana	Kentucky	Michigan	Pennsylvania	West Virginia
					(7 Pa. Code 193.13).	
Education or training	N/A	N/A	N/A	N/A	N/A	N/A
Experience	N/A	N/A	N/A	N/A	N/A	N/A
Exam	N/A	N/A	N/A	N/A	N/A	N/A
Continuing education	N/A	N/A	N/A	N/A	N/A	N/A
Initial licensure fee	For a restricted license, \$10. For mutuel employee or telephone operator, \$15. For mutuel manager or totalizator company employee, \$50. For totalizator company management	\$15 ( <i>Indiana Horse Racing Commission, License Fees</i> ).	\$20 to \$50 depending on horse breed (810 Ky. Admin. Regs. 3:020).	\$10 ( <i>Mich. Comp. Laws 431.316(9)</i> ).	N/A	\$20 ( <i>W. Va. Code R. Table 178-1 A</i> ).

**Betting Staff** (*Mutuel Employee, Mutuel Employee – Restricted, Mutuel Manager, Telephone Operator, Totalizator Company Management Supervisory Employee, and Totalizator Company Employee*)

	Ohio	Indiana	Kentucky	Michigan	Pennsylvania	West Virginia
	supervisory employee, \$100. <i>(O.A.C. 3769-2-24 and 3769-12-24.)</i>					
License duration	One year ( <i>R.C. 3769.03</i> ).	One year ( <i>Ind. Code 4-31-6-4</i> ).	One year ( <i>810 Ky. Admin. Regs. 3:020</i> ).	One year ( <i>Michigan Gaming Control Board Horse Racing Section, <a href="#">Occupational License Application (PDF)</a></i> ).	N/A	One year ( <i>W. Va. Code 19-23-8</i> ).
Renewal fee	Same as initial fee.	Same as initial fee.	Same as initial fee.	Same as initial fee.	N/A	Same as initial fee.

## Racing staff

### Outrider survey responses (RAC)

Description
Responsible for maintaining control of the horses on the track.

Type <i>(License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)</i>
License

If the regulation is a registration, certification, or license requirement, please complete the following:	
Number issued annually	43
Number renewed annually	

If the regulation is a registration, certification, or license requirement, please complete the following:	
<b>Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?</b>	Increase
<b>Education or training requirements</b>	Permit holder hires, OSRC approves
<b>Experience requirements</b>	Must have prior experience or training for OSRC approval.
<b>Examination requirements</b> ( <i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i> )	
<b>Continuing education requirements</b> ( <i>Including a description of the curriculum and the process of setting it.</i> )	There is new federal regulation that will require almost all license holders with continuing education.
<b>Initial fee</b>	\$15.00 plus fingerprints
<b>Duration</b>	All licenses expire on December 31.
<b>Renewal fee</b> ( <i>If different from initial fee, please explain why.</i> )	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b></p>	<p>The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.</p>
<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	<p>3769.22 National Racing Compact</p>
<p><b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b></p>	
<p><b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b></p>	<p>3769-2-18 Applications for licenses and 3769-2-26 License refused, revoked, suspended state what parameters the Commission may use when determining to issue, refuse, revoke, or suspend a license.</p>
<p><b>Other information</b> <i>(Significant attributes or prerequisites to licensure not addressed in this chart.)</i></p>	

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

Licensees appear before the Stewards or Judges in the first step of the administrative process. Licensees have the right to appeal a ruling which follows R.C.119 administrative adjudications.

**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

The Commission is fiscally self- sustained in part through licensure fees.

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

15 U.S.C. Ch57A. The Horseracing Integrity and Safety Act.

**What is the harm that the regulation seeks to prevent? (See, R.C. 4798.02(B).)**

This regulation seeks to prevent harm to the public and instill confidence in the racing product within a highly regulated industry that has vast potential for undesirable persons to undermine the integrity of horseracing

**Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?**

Yes, by preventing undesirable persons from participating in racing, and no.

**Are there any changes the Commission would like to see implemented?**

### **Owner survey responses (RAC)**

**Description**

Owner of a horse that intends on racing.



**Type** (License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)

License

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Number issued annually</b>	5,154
<b>Number renewed annually</b>	
<b>Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?</b>	
<b>Education or training requirements</b>	None
<b>Experience requirements</b>	No

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Examination requirements</b> (<i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i>)</p>	
<p><b>Continuing education requirements</b> (<i>Including a description of the curriculum and the process of setting it.</i>)</p>	<p>There is new federal regulation that will require almost all license holders with continuing education.</p>
<p><b>Initial fee</b></p>	<p>\$50.00</p>
<p><b>Duration</b></p>	<p>All licenses expire on December 31.</p>
<p><b>Renewal fee</b> (<i>If different from initial fee, please explain why.</i>)</p>	
<p><b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b></p>	<p>The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.</p>
<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	<p>3769.22 National Racing Compact</p>

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b>	
<b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b>	3769-2-18 Applications for licenses and 3769-2-26 License refused, revoked, suspended state what parameters the Commission may use when determining to issue, refuse, revoke, or suspend a license.
<b>Other information</b> ( <i>Significant attributes or prerequisites to licensure not addressed in this chart.</i> )	

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

Licensees appear before the Stewards or Judges in the first step of the administrative process. Licensees have the right to appeal a ruling which follows R.C.119 administrative adjudications.

**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

The Commission is fiscally self- sustained in part through licensure fees.

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

15 U.S.C. Ch57A. The Horseracing Integrity and Safety Act.

**What is the harm that the regulation seeks to prevent? (See, R.C. 4798.02(B).)**

This regulation seeks to prevent harm to the public and instill confidence in the racing product within a highly regulated industry that has vast potential for undesirable persons to undermine the integrity of horseracing.

**Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?**

Yes, by preventing undesirable persons from participating in racing, and no.

**Are there any changes the Commission would like to see implemented?**

### **Restricted license – owner survey responses (RAC)**

**Description**

See Owner- this would be a fair Owner

**Type** (License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)

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**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Number issued annually</b>	
<b>Number renewed annually</b>	
<b>Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?</b>	
<b>Education or training requirements</b>	
<b>Experience requirements</b>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Examination requirements</b> (<i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i>)</p>	
<p><b>Continuing education requirements</b> (<i>Including a description of the curriculum and the process of setting it.</i>)</p>	
<p><b>Initial fee</b></p>	<p>\$10.00</p>
<p><b>Duration</b></p>	<p>All licenses expire on December 31.</p>
<p><b>Renewal fee</b> (<i>If different from initial fee, please explain why.</i>)</p>	
<p><b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b></p>	<p>The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.</p>
<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b>	
<b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b>	
<b>Other information</b> ( <i>Significant attributes or prerequisites to licensure not addressed in this chart.</i> )	

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

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**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

**What is the harm that the regulation seeks to prevent? (*See, R.C. 4798.02(B).*)**

Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?

Are there any changes the Commission would like to see implemented?

### **Pony person survey responses (RAC)**

#### **Description**

This person rides her horse, while leading a horse onto the racetrack.  
Licensed under exercise rider.

**Type** (License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)

License

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Number issued annually</b>	135- includes exercise riders
<b>Number renewed annually</b>	
<b>Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?</b>	
<b>Education or training requirements</b>	Must have a trainer sign off on- Must have experience for OSRC approval
<b>Experience requirements</b>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Examination requirements</b> (<i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i>)</p>	
<p><b>Continuing education requirements</b> (<i>Including a description of the curriculum and the process of setting it.</i>)</p>	<p>There is new federal regulation that will require almost all license holders with continuing education.</p>
<p><b>Initial fee</b></p>	<p>\$15</p>
<p><b>Duration</b></p>	<p>All licenses expire on December 31.</p>
<p><b>Renewal fee</b> (<i>If different from initial fee, please explain why.</i>)</p>	
<p><b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b></p>	<p>The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.</p>
<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	<p>3769.22 National Racing Compact</p>

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b></p>	<p>Persons may take work in their occupations without an OSRC license so long as they do not work at a racetrack.</p>
<p><b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b></p>	<p>3769-2-18 Applications for licenses and 3769-2-26 License refused, revoked, suspended state what parameters the Commission may use when determining to issue, refuse, revoke, or suspend a license.</p>
<p><b>Other information</b> (<i>Significant attributes or prerequisites to licensure not addressed in this chart.</i>)</p>	

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

Licensees appear before the Stewards or Judges in the first step of the administrative process. Licensees have the right to appeal a ruling which follows R.C.119 administrative adjudications.

**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

The Commission is fiscally self-sustained in part through licensure fees.

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

15 U.S.C. Ch57A. The Horseracing Integrity and Safety Act.

**What is the harm that the regulation seeks to prevent? (See, R.C. 4798.02(B).)**

This regulation seeks to prevent harm to the public and instill confidence in the racing product within a highly regulated industry that has vast potential for undesirable persons to undermine the integrity of horseracing.

**Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?**

Yes, by preventing undesirable persons from participating in racing, and no.

**Are there any changes the Commission would like to see implemented?**

### **Stable name (primary) survey responses (RAC)**

**Description**

A licensed name for a stable. All stable owners must also carry an Owners license.

**Type** (License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)

License

**If the regulation is a registration, certification, or license requirement, please complete the following:**

**Number issued annually**

**Number renewed annually**

**Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?**

**Education or training requirements**

**Experience requirements**



**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Examination requirements</b> (<i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i>)</p>	
<p><b>Continuing education requirements</b> (<i>Including a description of the curriculum and the process of setting it.</i>)</p>	
<p><b>Initial fee</b></p>	
<p><b>Duration</b></p>	<p>All licenses expire on December 31.</p>
<p><b>Renewal fee</b> (<i>If different from initial fee, please explain why.</i>)</p>	
<p><b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b></p>	<p>The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.</p>
<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	<p>3769.22 National Racing Compact</p>

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b>	
<b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b>	3769-2-18 Applications for licenses and 3769-2-26 License refused, revoked, suspended state what parameters the Commission may use when determining to issue, refuse, revoke, or suspend a license.
<b>Other information</b> ( <i>Significant attributes or prerequisites to licensure not addressed in this chart.</i> )	Before a Stable license can be issued- All Owners in a stable must be licensed.

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

Licensees appear before the Stewards or Judges in the first step of the administrative process. Licensees have the right to appeal a ruling which follows R.C.119 administrative adjudications.

**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

The Commission is fiscally self-sustained in part through licensure fees.

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

15 U.S.C. Ch57A. The Horseracing Integrity and Safety Act.

**What is the harm that the regulation seeks to prevent? (See, R.C. 4798.02(B).)**

This regulation seeks to prevent harm to the public and instill confidence in the racing product within a highly regulated industry that has vast potential for undesirable persons to undermine the integrity of horseracing.

**Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?**

Yes, by preventing undesirable persons from participating in racing, and no.

**Are there any changes the Commission would like to see implemented?**

### **Stable name (secondary) survey responses (RAC)**

**Description**

Same as Stable Name (Primary)-Just for additional stables.

## Trainer survey responses (RAC)

Description
Trainer of horses.

Type <i>(License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)</i>
License

If the regulation is a registration, certification, or license requirement, please complete the following:	
Number issued annually	1,181
Number renewed annually	
Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?	

If the regulation is a registration, certification, or license requirement, please complete the following:	
<b>Education or training requirements</b>	Trainers are required to take a test in order to be licensed.
<b>Experience requirements</b>	At least 2 years of being licensed in the industry.
<b>Examination requirements</b> ( <i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i> )	There is new federal regulation that will require almost all license holders with continuing education.
<b>Continuing education requirements</b> ( <i>Including a description of the curriculum and the process of setting it.</i> )	
<b>Initial fee</b>	\$50.00
<b>Duration</b>	All licenses expire on December 31.
<b>Renewal fee</b> ( <i>If different from initial fee, please explain why.</i> )	
<b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b>	The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	<p>3769.22 National Racing Compact</p>
<p><b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b></p>	
<p><b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b></p>	<p>3769-2-18 Applications for licenses and 3769-2-26 License refused, revoked, suspended state what parameters the Commission may use when determining to issue, refuse, revoke, or suspend a license.</p>
<p><b>Other information</b> (<i>Significant attributes or prerequisites to licensure not addressed in this chart.</i>)</p>	

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

Licensees appear before the Stewards or Judges in the first step of the administrative process. Licensees have the right to appeal a ruling which follows R.C.119 administrative adjudications.

**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

The Commission is fiscally self-sustained in part through licensure fees.

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

15 U.S.C. Ch57A. The Horseracing Integrity and Safety Act.

**What is the harm that the regulation seeks to prevent? (See, R.C. 4798.02(B).)**

This regulation seeks to prevent harm to the public and instill confidence in the racing product within a highly regulated industry that has vast potential for undesirable persons to undermine the integrity of horseracing.



**Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?**

Yes, by preventing undesirable persons from participating in racing, and no.

**Are there any changes the Commission would like to see implemented?**

### **Valet survey responses (RAC)**

**Description**

Responsible for preparing both jockey and horse for racing.

**Type** (License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)

License

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Number issued annually</b>	23
<b>Number renewed annually</b>	
<b>Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?</b>	
<b>Education or training requirements</b>	Hired by Permit holder- must be approved by OSRC
<b>Experience requirements</b>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Examination requirements</b> (<i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i>)</p>	
<p><b>Continuing education requirements</b> (<i>Including a description of the curriculum and the process of setting it.</i>)</p>	
<p><b>Initial fee</b></p>	<p>\$15.00</p>
<p><b>Duration</b></p>	<p>All licenses expire on December 31.</p>
<p><b>Renewal fee</b> (<i>If different from initial fee, please explain why.</i>)</p>	
<p><b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b></p>	<p>The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.</p>
<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	<p>3769.22 National Racing Compact</p>

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b>	
<b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b>	3769-2-18 Applications for licenses and 3769-2-26 License refused, revoked, suspended state what parameters the Commission may use when determining to issue, refuse, revoke, or suspend a license.
<b>Other information</b> ( <i>Significant attributes or prerequisites to licensure not addressed in this chart.</i> )	

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

Licensees appear before the Stewards or Judges in the first step of the administrative process. Licensees have the right to appeal a ruling which follows R.C.119 administrative adjudications.

**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

The Commission is fiscally self-sustained in part through licensure fees.

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

15 U.S.C. Ch57A. The Horseracing Integrity and Safety Act.

**What is the harm that the regulation seeks to prevent? (See, R.C. 4798.02(B).)**

This regulation seeks to prevent harm to the public and instill confidence in the racing product within a highly regulated industry that has vast potential for undesirable persons to undermine the integrity of horseracing.

**Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?**

Yes, by preventing undesirable persons from participating in racing, and no.

**Are there any changes the Commission would like to see implemented?**

### **Restricted license – quarter horse participants survey responses (RAC)**

**Description**

Would be strictly licensed for Quarter horse racing.

**Type** (License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)

License

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Number issued annually</b>	Included in license type.
<b>Number renewed annually</b>	
<b>Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?</b>	Decrease
<b>Education or training requirements</b>	Depends on license type
<b>Experience requirements</b>	Depends on license type

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Examination requirements</b> (<i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i>)</p>	
<p><b>Continuing education requirements</b> (<i>Including a description of the curriculum and the process of setting it.</i>)</p>	
<p><b>Initial fee</b></p>	
<p><b>Duration</b></p>	<p>All licenses expire on December 31.</p>
<p><b>Renewal fee</b> (<i>If different from initial fee, please explain why.</i>)</p>	
<p><b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b></p>	<p>The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.</p>
<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	



**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b>	
<b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b>	3769-2-18 Applications for licenses and 3769-2-26 License refused, revoked, suspended state what parameters the Commission may use when determining to issue, refuse, revoke, or suspend a license.
<b>Other information</b> ( <i>Significant attributes or prerequisites to licensure not addressed in this chart.</i> )	

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

Licensees appear before the Stewards or Judges in the first step of the administrative process. Licensees have the right to appeal a ruling which follows R.C.119 administrative adjudications.

**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

The Commission is fiscally self-sustained in part through licensure fees.

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

**What is the harm that the regulation seeks to prevent? (See, R.C. 4798.02(B).)**

Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?

Are there any changes the Commission would like to see implemented?

### **Restricted license – driver trainer survey responses (RAC)**

Description
Harness Driver/Trainer combination license. This would be restricted to fairs

**Type** (License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)

License

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Number issued annually</b>	674
<b>Number renewed annually</b>	
<b>Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?</b>	
<b>Education or training requirements</b>	Must be tested/ certified by USTA. Must be approved by OSRC
<b>Experience requirements</b>	USTA

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Examination requirements</b> (<i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i>)</p>	<p>USTA</p>
<p><b>Continuing education requirements</b> (<i>Including a description of the curriculum and the process of setting it.</i>)</p>	
<p><b>Initial fee</b></p>	<p>\$10.00</p>
<p><b>Duration</b></p>	<p>All licenses expire on December 31.</p>
<p><b>Renewal fee</b> (<i>If different from initial fee, please explain why.</i>)</p>	
<p><b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b></p>	<p>The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.</p>
<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	<p>3769.22 National Racing Compact</p>

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b>	
<b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b>	3769-2-18 Applications for licenses and 3769-2-26 License refused, revoked, suspended state what parameters the Commission may use when determining to issue, refuse, revoke, or suspend a license
<b>Other information</b> ( <i>Significant attributes or prerequisites to licensure not addressed in this chart.</i> )	

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

Licensees appear before the Stewards or Judges in the first step of the administrative process. Licensees have the right to appeal a ruling which follows R.C.119 administrative adjudications.

**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

The Commission is fiscally self-sustained in part through licensure fees.

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

**What is the harm that the regulation seeks to prevent? (See, R.C. 4798.02(B).)**

Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?

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Are there any changes the Commission would like to see implemented?

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### **Jockey survey responses (RAC)**

**Description**

Rides the horse in a race -

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**Type** (License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)

License

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Number issued annually</b>	138
<b>Number renewed annually</b>	
<b>Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?</b>	
<b>Education or training requirements</b>	Yes
<b>Experience requirements</b>	Yes

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Examination requirements</b> (<i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i>)</p>	
<p><b>Continuing education requirements</b> (<i>Including a description of the curriculum and the process of setting it.</i>)</p>	<p>There is new federal regulation that will require almost all license holders with continuing education.</p>
<p><b>Initial fee</b></p>	<p>\$50.00</p>
<p><b>Duration</b></p>	<p>All licenses expire on December 31.</p>
<p><b>Renewal fee</b> (<i>If different from initial fee, please explain why.</i>)</p>	
<p><b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b></p>	<p>The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.</p>
<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	<p>3769.22 National Racing Compact</p>

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b>	
<b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b>	3769-2-18 Applications for licenses and 3769-2-26 License refused, revoked, suspended state what parameters the Commission may use when determining to issue, refuse, revoke, or suspend a license.
<b>Other information</b> ( <i>Significant attributes or prerequisites to licensure not addressed in this chart.</i> )	

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

Licensees appear before the Stewards or Judges in the first step of the administrative process. Licensees have the right to appeal a ruling which follows R.C.119 administrative adjudications.

**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

The Commission is fiscally self-sustained in part through licensure fees.

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

15 U.S.C. Ch57A. The Horseracing Integrity and Safety Act.

**What is the harm that the regulation seeks to prevent? (See, R.C. 4798.02(B).)**

This regulation seeks to prevent harm to the public and instill confidence in the racing product within a highly regulated industry that has vast potential for undesirable persons to undermine the integrity of horseracing.

**Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?**

Yes, by preventing undesirable persons from participating in racing, and no.

**Are there any changes the Commission would like to see implemented?**

### **Apprentice jockey survey responses (RAC)**

**Description**

A Jockey with limited experience.

**Type** (License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)

License

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Number issued annually</b>	17
<b>Number renewed annually</b>	
<b>Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?</b>	
<b>Education or training requirements</b>	Must be approved by Racing Officials. Must be approved by OSRC
<b>Experience requirements</b>	Must be approved by OSRC

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Examination requirements</b> (<i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i>)</p>	
<p><b>Continuing education requirements</b> (<i>Including a description of the curriculum and the process of setting it.</i>)</p>	<p>There is new federal regulation that will require almost all license holders with continuing education.</p>
<p><b>Initial fee</b></p>	<p>\$50.00</p>
<p><b>Duration</b></p>	<p>All licenses expire on December 31.</p>
<p><b>Renewal fee</b> (<i>If different from initial fee, please explain why.</i>)</p>	
<p><b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b></p>	<p>The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.</p>
<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	<p>3769.22 National Racing Compact</p>

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b>	
<b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b>	3769-2-18 Applications for licenses and 3769-2-26 License refused, revoked, suspended state what parameters the Commission may use when determining to issue, refuse, revoke, or suspend a license.
<b>Other information</b> ( <i>Significant attributes or prerequisites to licensure not addressed in this chart.</i> )	

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

Licensees appear before the Stewards or Judges in the first step of the administrative process. Licensees have the right to appeal a ruling which follows R.C.119 administrative adjudications.



**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

The Commission is fiscally self-sustained in part through licensure fees.

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

15 U.S.C. Ch57A. The Horseracing Integrity and Safety Act.

**What is the harm that the regulation seeks to prevent? (See, R.C. 4798.02(B).)**

This regulation seeks to prevent harm to the public and instill confidence in the racing product within a highly regulated industry that has vast potential for undesirable persons to undermine the integrity of horseracing.

Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?

Yes, by preventing undesirable persons from participating in racing, and no.

Are there any changes the Commission would like to see implemented?

### **Jockey agent survey responses (RAC)**

**Description**

Represents riders of racehorses in negotiations with owners to arrange for riding engagements at racetrack

**Type** (License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)

License

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Number issued annually</b>	138
<b>Number renewed annually</b>	
<b>Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?</b>	
<b>Education or training requirements</b>	
<b>Experience requirements</b>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Examination requirements</b> (<i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i>)</p>	
<p><b>Continuing education requirements</b> (<i>Including a description of the curriculum and the process of setting it.</i>)</p>	
<p><b>Initial fee</b></p>	<p>\$50.00</p>
<p><b>Duration</b></p>	<p>All licenses expire on December 31.</p>
<p><b>Renewal fee</b> (<i>If different from initial fee, please explain why.</i>)</p>	
<p><b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b></p>	<p>The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.</p>
<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b>	
<b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b>	3769-2-18 Applications for licenses and 3769-2-26 License refused, revoked, suspended state what parameters the Commission may use when determining to issue, refuse, revoke, or suspend a license.
<b>Other information</b> ( <i>Significant attributes or prerequisites to licensure not addressed in this chart.</i> )	

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

Licensees appear before the Stewards or Judges in the first step of the administrative process. Licensees have the right to appeal a ruling which follows R.C.119 administrative adjudications.

**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

The Commission is fiscally self-sustained in part through licensure fees.

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

**What is the harm that the regulation seeks to prevent? (See, R.C. 4798.02(B).)**

This regulation seeks to prevent harm to the public and instill confidence in the racing product within a highly regulated industry that has vast potential for undesirable persons to undermine the integrity of horseracing.

**Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?**

Yes, by preventing undesirable persons from participating in racing, and no.

**Are there any changes the Commission would like to see implemented?**

### **Driver-trainer survey responses (RAC)**

**Description**

Harness License Driver/Trainer combo License

**Type** (License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)

License

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Number issued annually</b>	658
<b>Number renewed annually</b>	
<b>Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?</b>	
<b>Education or training requirements</b>	Must be certified through USTA
<b>Experience requirements</b>	



**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Examination requirements</b> (<i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i>)</p>	<p>USTA</p>
<p><b>Continuing education requirements</b> (<i>Including a description of the curriculum and the process of setting it.</i>)</p>	
<p><b>Initial fee</b></p>	<p>\$50.00</p>
<p><b>Duration</b></p>	<p>All licenses expire on December 31.</p>
<p><b>Renewal fee</b> (<i>If different from initial fee, please explain why.</i>)</p>	
<p><b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b></p>	<p>The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.</p>
<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b>	
<b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b>	3769-2-18 Applications for licenses and 3769-2-26 License refused, revoked, suspended state what parameters the Commission may use when determining to issue, refuse, revoke, or suspend a license.
<b>Other information</b> ( <i>Significant attributes or prerequisites to licensure not addressed in this chart.</i> )	

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

Licensees appear before the Stewards or Judges in the first step of the administrative process. Licensees have the right to appeal a ruling which follows R.C.119 administrative adjudications.

**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

The Commission is fiscally self-sustained in part through licensure fees.

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

**What is the harm that the regulation seeks to prevent? (See, R.C. 4798.02(B).)**

Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?

Are there any changes the Commission would like to see implemented?

### **Eligibility to claim survey responses (RAC)**

**Description**

A first time owner who would like to claim his/her first horse.

**Type** (License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)

License

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Number issued annually</b>	35
<b>Number renewed annually</b>	
<b>Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?</b>	
<b>Education or training requirements</b>	
<b>Experience requirements</b>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Examination requirements</b> (<i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i>)</p>	
<p><b>Continuing education requirements</b> (<i>Including a description of the curriculum and the process of setting it.</i>)</p>	<p>There is new federal regulation that will require almost all license holders with continuing education.</p>
<p><b>Initial fee</b></p>	<p>\$25.00</p>
<p><b>Duration</b></p>	<p>Good for 30 days</p>
<p><b>Renewal fee</b> (<i>If different from initial fee, please explain why.</i>)</p>	
<p><b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b></p>	<p>The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.</p>
<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b>	
<b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b>	3769-2-18 Applications for licenses and 3769-2-26 License refused, revoked, suspended state what parameters the Commission may use when determining to issue, refuse, revoke, or suspend a license.
<b>Other information</b> ( <i>Significant attributes or prerequisites to licensure not addressed in this chart.</i> )	

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

Licensees appear before the Stewards or Judges in the first step of the administrative process. Licensees have the right to appeal a ruling which follows R.C.119 administrative adjudications.

**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

The Commission is fiscally self-sustained in part through licensure fees.

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

15 U.S.C. Ch57A. The Horseracing Integrity and Safety Act.

**What is the harm that the regulation seeks to prevent? (See, R.C. 4798.02(B).)**

This regulation seeks to prevent harm to the public and instill confidence in the racing product within a highly regulated industry that has vast potential for undesirable persons to undermine the integrity of horseracing.



**Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?**

Yes, by preventing undesirable persons from participating in racing, and no.

**Are there any changes the Commission would like to see implemented?**

### **Exercise rider survey responses (RAC)**

**Description**

Exercises horses –Thoroughbred only

**Type** (License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)

License

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Number issued annually</b>	135
<b>Number renewed annually</b>	
<b>Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?</b>	
<b>Education or training requirements</b>	Riding ability- Must be under a trainer-Must be approved by Race Officials/OSRC
<b>Experience requirements</b>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Examination requirements</b> (<i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i>)</p>	
<p><b>Continuing education requirements</b> (<i>Including a description of the curriculum and the process of setting it.</i>)</p>	<p>There is new federal regulation that will require almost all license holders with continuing education.</p>
<p><b>Initial fee</b></p>	<p>\$15.00</p>
<p><b>Duration</b></p>	<p>All licenses expire on December 31.</p>
<p><b>Renewal fee</b> (<i>If different from initial fee, please explain why.</i>)</p>	
<p><b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b></p>	<p>The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.</p>
<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b>	Persons may take work in their occupations without an OSRC license so long as they do not work at a racetrack.
<b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b>	3769-2-18 Applications for licenses and 3769-2-26 License refused, revoked, suspended state what parameters the Commission may use when determining to issue, refuse, revoke, or suspend a license.
<b>Other information</b> ( <i>Significant attributes or prerequisites to licensure not addressed in this chart.</i> )	

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

Licensees appear before the Stewards or Judges in the first step of the administrative process. Licensees have the right to appeal a ruling which follows R.C.119 administrative adjudications.

**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

The Commission is fiscally self-sustained in part through licensure fees.

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

15 U.S.C. Ch57A. The Horseracing Integrity and Safety Act.

**What is the harm that the regulation seeks to prevent? (See, R.C. 4798.02(B).)**

This regulation seeks to prevent harm to the public and instill confidence in the racing product within a highly regulated industry that has vast potential for undesirable persons to undermine the integrity of horseracing.

**Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?**

Yes, by preventing undesirable persons from participating in racing, and no.

**Are there any changes the Commission would like to see implemented?**

**Surrounding state comparison (LSC)**

*Racing Staff (Owner, Owner – Restricted, Owner’s Reciprocal Validation, Authorized Agent, Thoroughbred Breeder, Jockey, Apprentice Jockey, Jockey Agent, Trainer, Assistant Trainer, Driver-Trainer, Driver-Trainer – Restricted, Outrider, Pony Person, Exercise Rider, and Valet)*

	Ohio	Indiana	Kentucky	Michigan	Pennsylvania	West Virginia
Type of regulation	License (R.C. 3769.03; O.A.C. 3769-2-18).	License (Ind. Code 4-31-6; 71 Ind. Admin. Code 5 and 5.5).	License (Ky. Rev. Stat. 230.310; 810 Ky. Admin. Regs. 3:020).	License (Mich. Comp. Laws 431.308 and 431.316; Mich. Admin. Code R. 431.1035).	License (3 Pa. Cons. Stat. 9323: 7 Pa. Code 185.1, 203.1, and 303.1).	Permit (W. Va. Code 19-23-2, 19-23-7, and 19-23-8; W. Va. Code R. 178-1-24).

**Racing Staff** (*Owner, Owner – Restricted, Owner’s Reciprocal Validation, Authorized Agent, Thoroughbred Breeder, Jockey, Apprentice Jockey, Jockey Agent, Trainer, Assistant Trainer, Driver-Trainer, Driver-Trainer – Restricted, Outrider, Pony Person, Exercise Rider, and Valet*)

	Ohio	Indiana	Kentucky	Michigan	Pennsylvania	West Virginia
Education or training	N/A	<p>Trainer or driver must be licensed by the United States Trotting Association (USTA) or the Canadian Trotting Association (CTA).</p> <p>Jockey must have prior licensing as an apprentice.</p> <p>For all others, none.</p> <p><i>(71 Ind. Admin. Code 5 and 5.5.)</i></p>	<p>For standardbred (harness) racing, an owner, trainer, or driver must be licensed by the USTA, Standardbred Canada, or an appropriate international harness racing governing agency.</p> <p>For all others, none.</p> <p><i>(810 Ky. Admin. Regs. 3:020.)</i></p>	<p>Trainer must be knowledgeable of, and conversant in, the training of harness horses.</p> <p>Driver must be licensed by the USTA or Standardbred Canada and must be knowledgeable of, and conversant in, the driving and training of harness horses.</p> <p>Jockey must demonstrate sufficient horsemanship and ability.</p> <p>For all others, none.</p> <p><i>(Mich. Admin. Code R. 431.3220, 431.4255, and 431.4285.)</i></p>	<p>Driver must be licensed by the USTA.</p> <p>For all others, none.</p> <p><i>(7 Pa. Code 203.51.)</i></p>	See below.

**Racing Staff** (*Owner, Owner – Restricted, Owner’s Reciprocal Validation, Authorized Agent, Thoroughbred Breeder, Jockey, Apprentice Jockey, Jockey Agent, Trainer, Assistant Trainer, Driver-Trainer, Driver-Trainer – Restricted, Outrider, Pony Person, Exercise Rider, and Valet*)

	Ohio	Indiana	Kentucky	Michigan	Pennsylvania	West Virginia
Experience	N/A	<p>Trainer or jockey agent must have sufficient experience, background, and knowledge.</p> <p>Driver must have at least one year of training experience and demonstrated ability to drive.</p> <p>Jockey or apprentice jockey must demonstrate riding ability.</p> <p>For all others, none.</p> <p><i>(71 Ind. Admin. Code 5 and 5.5.)</i></p>	<p>Jockey or apprentice jockey must have served at least one year with a racing stable.</p> <p>For all others, none.</p> <p><i>(810 Ky. Admin. Regs. 4:070.)</i></p>	<p>Trainer must have at least two years of experience under a recognized trainer, unless the trainer owns the horse.</p> <p>Driver must have at least one year of training experience.</p> <p>For all others, none.</p> <p><i>(Mich. Admin. Code R. 431.4255 and 431.4285.)</i></p>	<p>Thoroughbred trainer must have at least two years of experience or relevant college-level education.</p> <p>Jockey must have prior licensing.</p> <p>Apprentice jockey must observe races and participate in schooling races.</p> <p>Jockey agent must be qualified by reason of experience, background, and knowledge.</p> <p>For all others, none.</p> <p><i>(7 Pa. Code 303.12, 303.31, and 305.275.)</i></p>	<p>Trainer must have held a permit in another racing occupation in West Virginia for three consecutive years or in another state for four consecutive years.</p> <p>Apprentice jockey must have one year of service with a racing stable and show proficiency.</p> <p>Jockey agent must show qualifications by experience, background, and knowledge.</p> <p>For all others, none.</p> <p><i>(W. Va. Code R. 178-1-26, 178-1-28, and 178-1-29.)</i></p>



**Racing Staff** (*Owner, Owner – Restricted, Owner’s Reciprocal Validation, Authorized Agent, Thoroughbred Breeder, Jockey, Apprentice Jockey, Jockey Agent, Trainer, Assistant Trainer, Driver-Trainer, Driver-Trainer – Restricted, Outrider, Pony Person, Exercise Rider, and Valet*)

	Ohio	Indiana	Kentucky	Michigan	Pennsylvania	West Virginia
Exam	N/A	<p>Trainer must pass an exam and a demonstration of practical skills for initial license.</p> <p>Jockey agent must pass an exam.</p> <p>For all others, none.</p> <p><i>(71 Ind. Admin. Code 5 and 5.5.)</i></p>	<p>Trainer or assistant trainer must pass an exam.</p> <p>For all others, none.</p> <p><i>(810 Ky. Admin. Regs. 4:10.0.)</i></p>	<p>Trainer must pass a USTA exam.</p> <p>For all others, none.</p> <p><i>(Mich. Admin. Code R. 431.4285.)</i></p>	<p>Trainer must pass an exam and a demonstration of practical skills.</p> <p>Jockey and apprentice jockey must demonstrate riding ability.</p> <p>Jockey agent must pass an exam and have completed a continuing education program for jockeys.</p> <p>For all others, none.</p> <p><i>(7 Pa. Code 203.21, 303.12, 303.31, 303.34, and 305.275.)</i></p>	<p>Trainer must pass an exam.</p> <p>Jockey must demonstrate competence.</p> <p>For all others, none.</p> <p><i>(W. Va. Code R. 178-1-26 and 178-1-28.)</i></p>
Continuing education	N/A	N/A	N/A	N/A	N/A	Trainer must complete four hours per year.

**Racing Staff** (*Owner, Owner – Restricted, Owner’s Reciprocal Validation, Authorized Agent, Thoroughbred Breeder, Jockey, Apprentice Jockey, Jockey Agent, Trainer, Assistant Trainer, Driver-Trainer, Driver-Trainer – Restricted, Outrider, Pony Person, Exercise Rider, and Valet*)

	Ohio	Indiana	Kentucky	Michigan	Pennsylvania	West Virginia
						For all others, none.  <i>(W. Va. Code R. 178-1-26.)</i>
Initial licensure fee	For a restricted license or for thoroughbred breeder, \$10.  For outrider, pony person, exercise rider, or valet, \$15.  For all others, \$50.  <i>(O.A.C. 3769-2-24 and 3769-12-24.)</i>	For exercise rider, hot walker, or pony rider, \$15.  For jockey agent, \$100.  For all others, \$35.  <i>(Indiana Horse Racing Commission, License Fees.)</i>	For exercise rider, \$10.  For driver, driver/trainer, owner/trainer/driver, or owner/driver, \$125.  For farm manager or agent, \$25 to \$50 depending on horse breed.  For jockey apprentice, \$35 to \$100 depending on horse breed.  For steeplechase jockey, \$150.  For all others, \$35 to \$150	For jockey, apprentice jockey, jockey agent, driver, owner, or trainer, \$25.  For all others, \$10.  <i>(Michigan Gaming Control Board Horse Racing Section, License Application Fees (PDF); Mich. Comp. Laws 431.316(9).)</i>	For owner/trainer, \$240.  For owner, trainer, driver, or jockey, \$120.  For authorized agent, \$35 to \$100 depending on duration of license.  For assistant trainer, \$75.  For apprentice jockey, \$60.  For jockey agent, \$45.  For all others, \$30.  <i>(Pennsylvania State Horse Racing</i>	For owner-trainer, \$60.  For owner, trainer, assistant trainer, or jockey, \$30.  For all others, \$20.  <i>(W. Va. Code R. Table 178-1 A.)</i>

**Racing Staff** (*Owner, Owner – Restricted, Owner’s Reciprocal Validation, Authorized Agent, Thoroughbred Breeder, Jockey, Apprentice Jockey, Jockey Agent, Trainer, Assistant Trainer, Driver-Trainer, Driver-Trainer – Restricted, Outrider, Pony Person, Exercise Rider, and Valet*)

	Ohio	Indiana	Kentucky	Michigan	Pennsylvania	West Virginia
			depending on horse breed. <i>(810 Ky. Admin. Regs. 3:020)</i>		<i>Commission, License Fee Schedule (PDF) (PDF).</i>	
License duration	One year ( <i>R.C. 3769.03</i> ).	One year ( <i>Ind. Code 4-31-6-4</i> ).	One year ( <i>810 Ky. Admin. Regs. 3:020</i> ).	One year ( <i>Michigan Gaming Control Board Horse Racing Section, Occupational License Application (PDF)</i> ).	Three years, except that authorized agent may apply for a one-, two-, or three-year license ( <i>3 Pa. Cons. Stat. 9323; Pennsylvania State Horse Racing Commission, License Fee Schedule (PDF)</i> ).	One year ( <i>W. Va. Code 19-23-8</i> ).
Renewal fee	Same as initial fee.	Same as initial fee.	Same as initial fee.	Same as initial fee.	Same as initial fee.	Same as initial fee.

## Horse care staff

### Veterinarian survey responses (RAC)

Description

**Type** (License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)

License

If the regulation is a registration, certification, or license requirement, please complete the following:

Number issued annually

84

Number renewed annually

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?</b>	
<b>Education or training requirements</b>	Must be licensed by the state Veterinarian Board.
<b>Experience requirements</b>	Must be in good standing with Veterinarian Board.
<b>Examination requirements</b> ( <i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i> )	Tested and certified through the Veterinarian Board.
<b>Continuing education requirements</b> ( <i>Including a description of the curriculum and the process of setting it.</i> )	
<b>Initial fee</b>	\$100.00
<b>Duration</b>	All licenses expire on December 31.
<b>Renewal fee</b> ( <i>If different from initial fee, please explain why.</i> )	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b></p>	<p>The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.</p>
<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	
<p><b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b></p>	<p>Persons may take work in their occupations without an OSRC license so long as they do not work at a racetrack.</p>
<p><b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b></p>	<p>3769-2-18 Applications for licenses and 3769-2-26 License refused, revoked, suspended state what parameters the Commission may use when determining to issue, refuse, revoke, or suspend a license.</p>
<p><b>Other information</b> <i>(Significant attributes or prerequisites to licensure not addressed in this chart.)</i></p>	

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

Licensees appear before the Stewards or Judges in the first step of the administrative process. Licensees have the right to appeal a ruling which follows R.C.119 administrative adjudications.

**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

The Commission is fiscally self-sustained in part through licensure fees.

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

15 U.S.C. Ch57A. The Horseracing Integrity and Safety Act.

**What is the harm that the regulation seeks to prevent? (See, R.C. 4798.02(B).)**

This regulation seeks to prevent harm to the public and instill confidence in the racing product within a highly regulated industry that has vast potential for undesirable persons to undermine the integrity of horseracing.

**Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?**

Yes, by preventing undesirable persons from participating in racing, and no.

**Are there any changes the Commission would like to see implemented?**

### **Veterinarian's assistant survey responses (RAC)**

**Description**

Assists the Veterinarian.



**Type** (License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)

License

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Number issued annually</b>	65
<b>Number renewed annually</b>	
<b>Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?</b>	
<b>Education or training requirements</b>	Hired by permit holder- Must be approved by OSRC
<b>Experience requirements</b>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Examination requirements</b> (<i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i>)</p>	
<p><b>Continuing education requirements</b> (<i>Including a description of the curriculum and the process of setting it.</i>)</p>	
<p><b>Initial fee</b></p>	<p>\$15.00</p>
<p><b>Duration</b></p>	<p>All licenses expire on December 31.</p>
<p><b>Renewal fee</b> (<i>If different from initial fee, please explain why.</i>)</p>	
<p><b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b></p>	<p>The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.</p>
<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b>	
<b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b>	3769-2-18 Applications for licenses and 3769-2-26 License refused, revoked, suspended state what parameters the Commission may use when determining to issue, refuse, revoke, or suspend a license.
<b>Other information</b> ( <i>Significant attributes or prerequisites to licensure not addressed in this chart.</i> )	

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

Licensees appear before the Stewards or Judges in the first step of the administrative process. Licensees have the right to appeal a ruling which follows R.C.119 administrative adjudications.

**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

The Commission is fiscally self- sustained in part through licensure fees.

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

15 U.S.C. Ch57A. The Horseracing Integrity and Safety Act.

**What is the harm that the regulation seeks to prevent? (See, R.C. 4798.02(B).)**

This regulation seeks to prevent harm to the public and instill confidence in the racing product within a highly regulated industry that has vast potential for undesirable persons to undermine the integrity of horseracing.

**Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?**

Yes, by preventing undesirable persons from participating in racing, and no.

**Are there any changes the Commission would like to see implemented?**

### **Groom survey responses (RAC)**

**Description**

Caretaker of a horse

**Type** (License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)

License

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Number issued annually</b>	1654
<b>Number renewed annually</b>	
<b>Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?</b>	
<b>Education or training requirements</b>	Must have a trainer sign employment/responsibility- Must be approved by OSRC
<b>Experience requirements</b>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Examination requirements</b> (<i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i>)</p>	
<p><b>Continuing education requirements</b> (<i>Including a description of the curriculum and the process of setting it.</i>)</p>	
<p><b>Initial fee</b></p>	
<p><b>Duration</b></p>	<p>All licenses expire on December 31.</p>
<p><b>Renewal fee</b> (<i>If different from initial fee, please explain why.</i>)</p>	
<p><b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b></p>	<p>The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.</p>
<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	<p>3769.22 National Racing Compact</p>

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b>	Persons may take work in their occupations without an OSRC license so long as they do not work at a racetrack
<b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b>	3769-2-18 Applications for licenses and 3769-2-26 License refused, revoked, suspended state what parameters the Commission may use when determining to issue, refuse, revoke, or suspend a license.
<b>Other information</b> ( <i>Significant attributes or prerequisites to licensure not addressed in this chart.</i> )	

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

Licensees appear before the Stewards or Judges in the first step of the administrative process. Licensees have the right to appeal a ruling which follows R.C.119 administrative adjudications.



**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

The Commission is fiscally self-sustained in part through licensure fees.

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

15 U.S.C. Ch57A. The Horseracing Integrity and Safety Act.

**What is the harm that the regulation seeks to prevent? (See, R.C. 4798.02(B).)**

This regulation seeks to prevent harm to the public and instill confidence in the racing product within a highly regulated industry that has vast potential for undesirable persons to undermine the integrity of horseracing.

**Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?**

Yes, by preventing undesirable persons from participating in racing, and no.

**Are there any changes the Commission would like to see implemented?**

### **Restricted license – groom survey responses (RAC)**

**Description**

Person in charge of caretaking the horse.- This would be restricted to fairs.

**Type** (License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)

License

**If the regulation is a registration, certification, or license requirement, please complete the following:**

**Number issued annually**

**Number renewed annually**

**Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?**

**Education or training requirements**

Trainer must sign employment /responsibility. Must be approved by OSRC

**Experience requirements**

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Examination requirements</b> (<i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i>)</p>	
<p><b>Continuing education requirements</b> (<i>Including a description of the curriculum and the process of setting it.</i>)</p>	
<p><b>Initial fee</b></p>	<p>\$5.00</p>
<p><b>Duration</b></p>	<p>All licenses expire on December 31.</p>
<p><b>Renewal fee</b> (<i>If different from initial fee, please explain why.</i>)</p>	
<p><b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b></p>	<p>The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.</p>
<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b>	Persons may take work in their occupations without an OSRC license so long as they do not work at a racetrack.
<b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b>	3769-2-18 Applications for licenses and 3769-2-26 License refused, revoked, suspended state what parameters the Commission may use when determining to issue, refuse, revoke, or suspend a license.
<b>Other information</b> ( <i>Significant attributes or prerequisites to licensure not addressed in this chart.</i> )	

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

Licensees appear before the Stewards or Judges in the first step of the administrative process. Licensees have the right to appeal a ruling which follows R.C.119 administrative adjudications.

**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

The Commission is fiscally self-sustained in part through licensure fees.

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

15 U.S.C. Ch57A. The Horseracing Integrity and Safety Act.

**What is the harm that the regulation seeks to prevent? (See, R.C. 4798.02(B).)**

This regulation seeks to prevent harm to the public and instill confidence in the racing product within a highly regulated industry that has vast potential for undesirable persons to undermine the integrity of horseracing.

**Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?**

Yes, by preventing undesirable persons from participating in racing, and no.

**Are there any changes the Commission would like to see implemented?**

### **Horseshoer survey responses (RAC)**

**Description**

Puts shoes on the horses.

**Type** (License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)

License

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Number issued annually</b>	42
<b>Number renewed annually</b>	
<b>Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?</b>	
<b>Education or training requirements</b>	Education and/or training
<b>Experience requirements</b>	



**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Examination requirements</b> (<i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i>)</p>	
<p><b>Continuing education requirements</b> (<i>Including a description of the curriculum and the process of setting it.</i>)</p>	<p>There is new federal regulation that will require almost all license holders with continuing education.</p>
<p><b>Initial fee</b></p>	<p>\$50.00</p>
<p><b>Duration</b></p>	<p>All licenses expire on December 31.</p>
<p><b>Renewal fee</b> (<i>If different from initial fee, please explain why.</i>)</p>	
<p><b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b></p>	<p>The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.</p>
<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b>	Persons may take work in their occupations without an OSRC license so long as they do not work at a racetrack.
<b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b>	3769-2-18 Applications for licenses and 3769-2-26 License refused, revoked, suspended state what parameters the Commission may use when determining to issue, refuse, revoke, or suspend a license.
<b>Other information</b> ( <i>Significant attributes or prerequisites to licensure not addressed in this chart.</i> )	

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

Licensees appear before the Stewards or Judges in the first step of the administrative process. Licensees have the right to appeal a ruling which follows R.C.119 administrative adjudications.

**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

The Commission is fiscally self-sustained in part through licensure fees.

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

15 U.S.C. Ch57A. The Horseracing Integrity and Safety Act.

**What is the harm that the regulation seeks to prevent? (See, R.C. 4798.02(B).)**

This regulation seeks to prevent harm to the public and instill confidence in the racing product within a highly regulated industry that has vast potential for undesirable persons to undermine the integrity of horseracing.

**Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?**

Yes, by preventing undesirable persons from participating in racing, and no.

**Are there any changes the Commission would like to see implemented?**

**Surrounding state comparison (LSC)**

*Horse Care Staff (Groom, Groom – Restricted, Horseshoer/Farrier/Blacksmith, Porter, Veterinarian, and Veterinarian’s Assistant)*

	Ohio	Indiana	Kentucky	Michigan	Pennsylvania	West Virginia
Type of regulation	License ( <i>R.C. 3769.03; O.A.C. 3769-2-18</i> ).	License ( <i>Ind. Code 4-31-6; 71 Ind. Admin. Code 5 and 5.5</i> ).	License ( <i>Ky. Rev. Stat. 230.310; 810 Ky. Admin. Regs. 3:020</i> ).	License ( <i>Mich. Comp. Laws 431.308 and 316; Mich. Admin. Code R. 431.1035</i> ).	License ( <i>3 Pa. Cons. Stat. 9323; 7 Pa. Code 185.1, 203.1, and 303.1</i> ).	Permit ( <i>W. Va. Code 19-23-2, 19-23-7, and 19-23-8; W. Va. Code R. 178-1-24</i> ).

**Horse Care Staff** (*Groom, Groom – Restricted, Horseshoer/Farrier/Blacksmith, Porter, Veterinarian, and Veterinarian’s Assistant*)

	Ohio	Indiana	Kentucky	Michigan	Pennsylvania	West Virginia
Education or training	N/A	<p>Veterinarian must be licensed by the state veterinarian regulatory authority and recommended by the official veterinarian.</p> <p>For all others, none.</p> <p><i>(71 Ind. Admin. Code 8-5-1.)</i></p>	<p>Veterinarian, veterinary technologist, or veterinary technician must hold an appropriate veterinary license or registration in Kentucky.</p> <p>Equine therapist must be approved by a licensed veterinarian and the chief state veterinarian.</p> <p>Horseshoer must have completed a course or exam recognized by the American Farrier’s Association or submit a letter of recommendation from a licensed horseshoer.</p> <p>For all others, none.</p>	<p>Veterinarian must be licensed to practice veterinary medicine.</p> <p>For all others, none.</p> <p><i>(Mich. Comp. Laws 431.302(t).)</i></p>	<p>Veterinarian must be licensed to practice veterinary medicine in the state.</p> <p>For all others, none.</p> <p><i>(7 Pa. Code 203.111.)</i></p>	<p>Veterinarian must be licensed to practice veterinary medicine in the state.</p> <p>For all others, none.</p> <p><i>(W. Va. Code R. 178-1-31.)</i></p>

**Horse Care Staff** (*Groom, Groom – Restricted, Horseshoer/Farrier/Blacksmith, Porter, Veterinarian, and Veterinarian’s Assistant*)

	Ohio	Indiana	Kentucky	Michigan	Pennsylvania	West Virginia
			<i>(810 Ky. Admin. Regs. 3:020.)</i>			
Experience	N/A	Horseshoer must have sufficient experience, background, and knowledge.  For all others, none.  <i>(71 Ind. Admin. Code 5-6-1 and 5.5-7-1.)</i>	See above.	N/A	N/A	N/A
Exam	N/A	Horseshoer must pass an exam.  For all others, none.  <i>(71 Ind. Admin. Code 5-6-1 and 5.5-7-1.)</i>	See above.	N/A	Horseshoer must pass an exam or a demonstration of practical skills.  For all others, none.  <i>(7 Pa. Code 203.101.)</i>	Horseshoer must pass an exam and demonstrate skills.  For all others, none.  <i>(W. Va. Code R. 178-1-30.)</i>
Continuing education	N/A	N/A	N/A	N/A	N/A	N/A

**Horse Care Staff** (*Groom, Groom – Restricted, Horseshoer/Farrier/Blacksmith, Porter, Veterinarian, and Veterinarian’s Assistant*)

	Ohio	Indiana	Kentucky	Michigan	Pennsylvania	West Virginia
Initial licensure fee	<p>For a restricted license, \$5.</p> <p>For groom, \$10.</p> <p>For porter or veterinarian’s assistant, \$15.</p> <p>For horseshoer, \$50.</p> <p>For veterinarian, \$100.</p> <p><i>(O.A.C. 3769-2-24 and 3769-12-24.)</i></p>	<p>For groom, \$15.</p> <p>For veterinarian, equine dentist, or massage therapist, \$100.</p> <p>For all others, \$35.</p> <p><i>(Indiana Horse Racing Commission, <a href="#">License Fees.</a>)</i></p>	<p>For stable employee, \$5 to \$10 depending on horse breed.</p> <p>For veterinary assistant, veterinary technologist or technician, equine therapist, or horseshoer apprentice, \$25 to \$50 depending on horse breed.</p> <p>For horseshoer, \$35 to \$100 depending on horse breed.</p> <p>For dental technician, \$100.</p> <p>For veterinarian, \$35 to \$150, depending on horse breed.</p> <p><i>(810 Ky. Admin. Regs. 3:020.)</i></p>	<p>For veterinarian, \$25.</p> <p>For all others, \$10.</p> <p><i>(Michigan Gaming Control Board Horse Racing Section, <a href="#">License Application Fees (PDF)</a>; Mich. Comp. Laws 431.316(9).)</i></p>	<p>For veterinarian, \$120.</p> <p>For horseshoer, \$60.</p> <p>For all others, \$30.</p> <p><i>(Pennsylvania State Horse Racing Commission, <a href="#">License Fee Schedule (PDF)</a>.)</i></p>	<p>For veterinarian or horseshoer, \$30.</p> <p>For all others, \$20.</p> <p><i>(W. Va. Code R. Table 178-1 A.)</i></p>

<b>Horse Care Staff</b> ( <i>Groom, Groom – Restricted, Horseshoer/Farrier/Blacksmith, Porter, Veterinarian, and Veterinarian’s Assistant</i> )						
	<b>Ohio</b>	<b>Indiana</b>	<b>Kentucky</b>	<b>Michigan</b>	<b>Pennsylvania</b>	<b>West Virginia</b>
License duration	One year ( <i>R.C. 3769.03</i> ).	One year ( <i>Ind. Code 4-31-6-4</i> ).	One year ( <i>810 Ky. Admin. Regs. 3:020</i> ).	One year ( <i>Michigan Gaming Control Board Horse Racing Section, <a href="#">Occupational License Application (PDF)</a></i> ).	Three years ( <i>3 Pa. Cons. Stat. 9323</i> ).	One year ( <i>W. Va. Code 19-23-8</i> ).
Renewal fee	Same as initial fee.	Same as initial fee.	Same as initial fee.	Same as initial fee.	Same as initial fee.	Same as initial fee.

## Facility staff

### Maintenance survey responses (RAC)

<b>Description</b>
This would include Maintenance personnel that work on the racing side of the permit holder’s property. Maintenance workers can be track maintenance or property/grounds maintenance.



**Type** (License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)

License-

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Number issued annually</b>	77
<b>Number renewed annually</b>	
<b>Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?</b>	
<b>Education or training requirements</b>	Hired by Permit holder, subject to approval from OSRC.
<b>Experience requirements</b>	Track Maintenance supervisor would need some experience for approval.

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Examination requirements</b> (<i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i>)</p>	<p>No</p>
<p><b>Continuing education requirements</b> (<i>Including a description of the curriculum and the process of setting it.</i>)</p>	<p>There is new federal regulation that will require almost all license holders with continuing education.</p>
<p><b>Initial fee</b></p>	<p>\$15 plus fingerprinting.</p>
<p><b>Duration</b></p>	<p>All licenses expire on December 31.</p>
<p><b>Renewal fee</b> (<i>If different from initial fee, please explain why.</i>)</p>	
<p><b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b></p>	<p>The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.</p>
<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	<p>3769.22 National Racing Compact</p>

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b>	Persons may take work in their occupations without an OSRC license so long as they do not work at a racetrack.
<b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b>	3769-2-18 Applications for licenses and 3769-2-26 License refused, revoked, suspended state what parameters the Commission may use when determining to issue, refuse, revoke, or suspend a license.
<b>Other information</b> ( <i>Significant attributes or prerequisites to licensure not addressed in this chart.</i> )	

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

Licensees appear before the Stewards or Judges in the first step of the administrative process. Licensees have the right to appeal a ruling which follows R. C. 119 administrative adjudications.

**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

The Commission is fiscally self-sustained in part through licensure fees.

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

15 U.S.C. Ch. 57A. The Horseracing Integrity and Safety Act.

**What is the harm that the regulation seeks to prevent? (See, R.C. 4798.02(B).)**

This regulation seeks to prevent harm to the public and instill confidence in the racing product within a highly regulated industry that has vast potential for undesirable persons to undermine the integrity of horseracing.

**Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?**

Yes, by preventing undesirable persons from participating in racing, and no.

**Are there any changes the Commission would like to see implemented?**

### **Medical survey responses (RAC)**

**Description**

Would encompass Medical/ First Aid personnel.

**Type** (License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)

License

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Number issued annually</b>	7
<b>Number renewed annually</b>	
<b>Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?</b>	Will be increasing as permit holders outsource to companies who have multiple people coming in for work.
<b>Education or training requirements</b>	Contract agreement with Permit holder.
<b>Experience requirements</b>	Contract agreement with Permit holder.

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Examination requirements</b> (<i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i>)</p>	
<p><b>Continuing education requirements</b> (<i>Including a description of the curriculum and the process of setting it.</i>)</p>	<p>There is new federal regulation that will require almost all license holders with continuing education.</p>
<p><b>Initial fee</b></p>	<p>\$15.00</p>
<p><b>Duration</b></p>	<p>All licenses expire on December 31.</p>
<p><b>Renewal fee</b> (<i>If different from initial fee, please explain why.</i>)</p>	
<p><b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b></p>	<p>The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.</p>
<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	<p>3769.22 National Racing Compact</p>

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b>	Persons may take work in their occupations without an OSRC license so long as they do not work at a racetrack.
<b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b>	3769-2-18 Applications for licenses and 3769-2-26 License refused, revoked, suspended state what parameters the Commission may use when determining to issue, refuse, revoke, or suspend a license.
<b>Other information</b> ( <i>Significant attributes or prerequisites to licensure not addressed in this chart.</i> )	

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

Licensees appear before the Stewards or Judges in the first step of the administrative process. Licensees have the right to appeal a ruling which follows R. C. 119 administrative adjudications.



**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

The Commission is fiscally self-sustained in part through licensure fees.

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

15 U.S.C. Ch. 57A. The Horseracing Integrity and Safety Act.

**What is the harm that the regulation seeks to prevent? (See, R.C. 4798.02(B).)**

This regulation seeks to prevent harm to the public and instill confidence in the racing product within a highly regulated industry that has vast potential for undesirable persons to undermine the integrity of horseracing.

**Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?**

Yes, by preventing undesirable persons from participating in racing, and no.

**Are there any changes the Commission would like to see implemented?**

### **Security survey responses (RAC)**

**Description**

Maintains security at the racetrack.

**Type** (License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)

License

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Number issued annually</b>	51
<b>Number renewed annually</b>	
<b>Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?</b>	
<b>Education or training requirements</b>	Hired by permit holder- Must be approved by OSRC
<b>Experience requirements</b>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Examination requirements</b> (<i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i>)</p>	
<p><b>Continuing education requirements</b> (<i>Including a description of the curriculum and the process of setting it.</i>)</p>	<p>There is new federal regulation that will require almost all license holders with continuing education.</p>
<p><b>Initial fee</b></p>	<p>\$15</p>
<p><b>Duration</b></p>	<p>All licenses expire on December 31.</p>
<p><b>Renewal fee</b> (<i>If different from initial fee, please explain why.</i>)</p>	
<p><b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b></p>	<p>The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.</p>
<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	<p>3769.22 National Racing Compact</p>

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b>	Persons may take work in their occupations without an OSRC license so long as they do not work at a racetrack.
<b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b>	3769-2-18 Applications for licenses and 3769-2-26 License refused, revoked, suspended state what parameters the Commission may use when determining to issue, refuse, revoke, or suspend a license.
<b>Other information</b> ( <i>Significant attributes or prerequisites to licensure not addressed in this chart.</i> )	

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

Licensees appear before the Stewards or Judges in the first step of the administrative process. Licensees have the right to appeal a ruling which follows R.C.119 administrative adjudications.

**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

The Commission is fiscally self- sustained in part through licensure fees.

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

15 U.S.C. Ch57A. The Horseracing Integrity and Safety Act.

**What is the harm that the regulation seeks to prevent? (See, R.C. 4798.02(B).)**

This regulation seeks to prevent harm to the public and instill confidence in the racing product within a highly regulated industry that has vast potential for undesirable persons to undermine the integrity of horseracing.

**Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?**

Yes, by preventing undesirable persons from participating in racing, and no.

**Are there any changes the Commission would like to see implemented?**

### **Chief of security survey responses (RAC)**

**Description**

See Security- This would be under Special B

**Type** (License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)

License

**If the regulation is a registration, certification, or license requirement, please complete the following:**

**Number issued annually**

**Number renewed annually**

**Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?**

**Education or training requirements**

**Experience requirements**



**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Examination requirements</b> (<i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i>)</p>	
<p><b>Continuing education requirements</b> (<i>Including a description of the curriculum and the process of setting it.</i>)</p>	
<p><b>Initial fee</b></p>	<p>\$50.00</p>
<p><b>Duration</b></p>	<p>All licenses expire on December 31.</p>
<p><b>Renewal fee</b> (<i>If different from initial fee, please explain why.</i>)</p>	
<p><b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b></p>	<p>The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.</p>
<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b>	
<b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b>	3769-2-18 Applications for licenses and 3769-2-26 License refused, revoked, suspended state what parameters the Commission may use when determining to issue, refuse, revoke, or suspend a license.
<b>Other information</b> ( <i>Significant attributes or prerequisites to licensure not addressed in this chart.</i> )	

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

Licensees appear before the Stewards or Judges in the first step of the administrative process. Licensees have the right to appeal a ruling which follows R.C.119 administrative adjudications.

**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

The Commission is fiscally self-sustained in part through licensure fees.

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

15 U.S.C. Ch57A. The Horseracing Integrity and Safety Act.

**What is the harm that the regulation seeks to prevent? (See, R.C. 4798.02(B).)**

This regulation seeks to prevent harm to the public and instill confidence in the racing product within a highly regulated industry that has vast potential for undesirable persons to undermine the integrity of horseracing.

**Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?**

Yes, by preventing undesirable persons from participating in racing, and no.

**Are there any changes the Commission would like to see implemented?**

### **Admission employee survey responses (RAC)**

**Description**

This person normally assists entrance to the racetrack.

**Type** (License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)

License

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Number issued annually</b>	6
<b>Number renewed annually</b>	
<b>Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?</b>	
<b>Education or training requirements</b>	No
<b>Experience requirements</b>	No

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Examination requirements</b> (<i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i>)</p>	
<p><b>Continuing education requirements</b> (<i>Including a description of the curriculum and the process of setting it.</i>)</p>	<p>There is new federal regulation that will require almost all license holders with continuing education.</p>
<p><b>Initial fee</b></p>	<p>\$15.00</p>
<p><b>Duration</b></p>	<p>All licenses expire on December 31.</p>
<p><b>Renewal fee</b> (<i>If different from initial fee, please explain why.</i>)</p>	
<p><b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b></p>	<p>The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.</p>
<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b>	
<b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b>	3769-2-18 Applications for licenses and 3769-2-26 License refused, revoked, suspended state what parameters the Commission may use when determining to issue, refuse, revoke, or suspend a license.
<b>Other information</b> ( <i>Significant attributes or prerequisites to licensure not addressed in this chart.</i> )	

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

Licensees appear before the Stewards or Judges in the first step of the administrative process. Licensees have the right to appeal a ruling which follows R.C.119 administrative adjudications.

**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

The Commission is fiscally self- sustained in part through licensure fees.

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

15 U.S.C. Ch57A. The Horseracing Integrity and Safety Act.

**What is the harm that the regulation seeks to prevent? (See, R.C. 4798.02(B).)**

This regulation seeks to prevent harm to the public and instill confidence in the racing product within a highly regulated industry that has vast potential for undesirable persons to undermine the integrity of horseracing.



**Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?**

Yes, by preventing undesirable persons from participating in racing, and no.

**Are there any changes the Commission would like to see implemented?**

### **Concession employee survey responses (RAC)**

**Description**

Typically licensed dually with lottery.

**Type** (License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)

License

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Number issued annually</b>	2- but also in VLT licenses
<b>Number renewed annually</b>	
<b>Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?</b>	
<b>Education or training requirements</b>	
<b>Experience requirements</b>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Examination requirements</b> (<i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i>)</p>	
<p><b>Continuing education requirements</b> (<i>Including a description of the curriculum and the process of setting it.</i>)</p>	
<p><b>Initial fee</b></p>	<p>\$15.00</p>
<p><b>Duration</b></p>	<p>All licenses expire on December 31.</p>
<p><b>Renewal fee</b> (<i>If different from initial fee, please explain why.</i>)</p>	
<p><b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b></p>	<p>The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.</p>
<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b>	
<b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b>	3769-2-18 Applications for licenses and 3769-2-26 License refused, revoked, suspended state what parameters the Commission may use when determining to issue, refuse, revoke, or suspend a license.
<b>Other information</b> ( <i>Significant attributes or prerequisites to licensure not addressed in this chart.</i> )	

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

Licensees appear before the Stewards or Judges in the first step of the administrative process. Licensees have the right to appeal a ruling which follows R.C.119 administrative adjudications.

**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

The Commission is fiscally self-sustained in part through licensure fees.

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

**What is the harm that the regulation seeks to prevent? (See, R.C. 4798.02(B).)**

Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?

Are there any changes the Commission would like to see implemented?

### **Concession manager survey responses (RAC)**

#### **Description**

Would be in charge of concessions and staff. This would be a dual license through VLT.

**Type** (License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)

License

**If the regulation is a registration, certification, or license requirement, please complete the following:**

**Number issued annually**

**Number renewed annually**

**Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?**

**Education or training requirements**

**Experience requirements**

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Examination requirements</b> (<i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i>)</p>	
<p><b>Continuing education requirements</b> (<i>Including a description of the curriculum and the process of setting it.</i>)</p>	
<p><b>Initial fee</b></p>	
<p><b>Duration</b></p>	<p>All licenses expire on December 31.</p>
<p><b>Renewal fee</b> (<i>If different from initial fee, please explain why.</i>)</p>	
<p><b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b></p>	<p>The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.</p>
<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	



**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b>	Persons may take work in their occupations without an OSRC license so long as they do not work at a racetrack.
<b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b>	3769-2-18 Applications for licenses and 3769-2-26 License refused, revoked, suspended state what parameters the Commission may use when determining to issue, refuse, revoke, or suspend a license.
<b>Other information</b> ( <i>Significant attributes or prerequisites to licensure not addressed in this chart.</i> )	

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

Licensees appear before the Stewards or Judges in the first step of the administrative process. Licensees have the right to appeal a ruling which follows R.C.119 administrative adjudications.

**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

The Commission is fiscally self-sustained in part through licensure fees.

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

**What is the harm that the regulation seeks to prevent? (See, R.C. 4798.02(B).)**

Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?

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Are there any changes the Commission would like to see implemented?

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### **Doctor survey responses (RAC)**

Description

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**Type** (License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)

License

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Number issued annually</b>	In with Special B
<b>Number renewed annually</b>	
<b>Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?</b>	
<b>Education or training requirements</b>	Yes- licensed by Medical Board
<b>Experience requirements</b>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Examination requirements</b> (<i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i>)</p>	
<p><b>Continuing education requirements</b> (<i>Including a description of the curriculum and the process of setting it.</i>)</p>	By Medical Board
<p><b>Initial fee</b></p>	\$50.00
<p><b>Duration</b></p>	All licenses expire on December 31.
<p><b>Renewal fee</b> (<i>If different from initial fee, please explain why.</i>)</p>	
<p><b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b></p>	The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.
<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b>	
<b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b>	3769-2-18 Applications for licenses and 3769-2-26 License refused, revoked, suspended state what parameters the Commission may use when determining to issue, refuse, revoke, or suspend a license.
<b>Other information</b> ( <i>Significant attributes or prerequisites to licensure not addressed in this chart.</i> )	

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

Licensees appear before the Stewards or Judges in the first step of the administrative process. Licensees have the right to appeal a ruling which follows R.C.119 administrative adjudications.

**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

The Commission is fiscally self-sustained in part through licensure fees

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

**What is the harm that the regulation seeks to prevent? (See, R.C. 4798.02(B).)**

Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?

Are there any changes the Commission would like to see implemented?

### General manager survey responses (RAC)

Description

General Manager of the race side.



**Type** (License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)

License

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Number issued annually</b>	8
<b>Number renewed annually</b>	
<b>Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?</b>	
<b>Education or training requirements</b>	Hired by permit holder- Must be approved by OSRC
<b>Experience requirements</b>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Examination requirements</b> (<i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i>)</p>	
<p><b>Continuing education requirements</b> (<i>Including a description of the curriculum and the process of setting it.</i>)</p>	<p>There is new federal regulation that will require almost all license holders with continuing education.</p>
<p><b>Initial fee</b></p>	<p>50.00</p>
<p><b>Duration</b></p>	<p>All licenses expire on December 31.</p>
<p><b>Renewal fee</b> (<i>If different from initial fee, please explain why.</i>)</p>	
<p><b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b></p>	<p>The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.</p>
<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b>	
<b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b>	3769-2-18 Applications for licenses and 3769-2-26 License refused, revoked, suspended state what parameters the Commission may use when determining to issue, refuse, revoke, or suspend a license.
<b>Other information</b> ( <i>Significant attributes or prerequisites to licensure not addressed in this chart.</i> )	

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

Licensees appear before the Stewards or Judges in the first step of the administrative process. Licensees have the right to appeal a ruling which follows R.C.119 administrative adjudications.

**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

The Commission is fiscally self-sustained in part through licensure fees.

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

15 U.S.C. Ch57A. The Horseracing Integrity and Safety Act.

**What is the harm that the regulation seeks to prevent? (See, R.C. 4798.02(B).)**

This regulation seeks to prevent harm to the public and instill confidence in the racing product within a highly regulated industry that has vast potential for undesirable persons to undermine the integrity of horseracing.

**Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?**

Yes, by preventing undesirable persons from participating in racing, and no

**Are there any changes the Commission would like to see implemented?**

**Surrounding state comparison (LSC)**

*Facility Staff (Admission Employee, Concession Employee, Concession Manager, Doctor, Medical and First Aid, General Manager, Maintenance, Parking Lot Employee, Security, and Chief of Security)*

	Ohio	Indiana	Kentucky	Michigan	Pennsylvania	West Virginia
Type of regulation	License (R.C. 3769.03; O.A.C. 3769-2-18).	License (Ind. Code 4-31-6; 71 Ind. Admin. Code 5 and 5.5).	License (Ky. Rev. Stat. 230.310; 810 Ky. Admin. Regs. 3:020).	License (Mich. Comp. Laws 431.308 and 431.316; Mich. Admin. Code R. 431.1035).	License (3 Pa. Cons. Stat. 9323; 7 Pa. Code 185.1, 203.1, and 303.1).	Permit (W. Va. Code 19-23-2, 19-23-7, and 19-23-8; W. Va. Code R. 178-1-24).

**Facility Staff** (*Admission Employee, Concession Employee, Concession Manager, Doctor, Medical and First Aid, General Manager, Maintenance, Parking Lot Employee, Security, and Chief of Security*)

	Ohio	Indiana	Kentucky	Michigan	Pennsylvania	West Virginia
Education or training	N/A	N/A	N/A	N/A	N/A	N/A
Experience	N/A	N/A	N/A	N/A	N/A	N/A
Exam	N/A	N/A	N/A	N/A	N/A	N/A
Continuing education	N/A	N/A	N/A	N/A	N/A	N/A
Initial licensure fee	For concession manager, chief of security, or doctor, \$50. For general manager, \$100. For all others, \$15. <i>(O.A.C. 3769-2-24 and 3769-12-24.)</i>	For track employee or track security, \$15. For gate crew, \$35. For track management, \$60. <i>(Indiana Horse Racing Commission, <a href="#">License Fees.</a>)</i>	\$10 to \$25 depending on horse breed (810 Ky. Admin. Regs. 3:020).	\$10 ( <i>Michigan Gaming Control Board Horse Racing Section, <a href="#">License Application Fees (PDF)</a>; Mich. Comp. Laws 431.316(9).</i> )	For track management, \$100. For all others, \$30. <i>(Pennsylvania State Horse Racing Commission, <a href="#">License Fee Schedule (PDF)</a>.)</i>	\$20 ( <i>W. Va. Code R. Table 178-1 A</i> ).
License duration	One year ( <i>R.C. 3769.03</i> ).	One year ( <i>Ind. Code 4-31-6-4</i> ).	One year ( <i>810 Ky. Admin. Regs. 3:020</i> ).	One year ( <i>Michigan Gaming Control Board Horse Racing Section,</i>	Three years ( <i>3 Pa. Cons. Stat. 9323</i> ).	One year ( <i>W. Va. Code 19-23-8</i> ).

Facility Staff (Admission Employee, Concession Employee, Concession Manager, Doctor, Medical and First Aid, General Manager, Maintenance, Parking Lot Employee, Security, and Chief of Security)						
	Ohio	Indiana	Kentucky	Michigan	Pennsylvania	West Virginia
				<a href="#"><u>Occupational License Application (PDF)</u></a>		
Renewal fee	Same as initial fee.	Same as initial fee.	Same as initial fee.	Same as initial fee.	Same as initial fee.	Same as initial fee.

## Suppliers

### Supply salesperson survey responses (RAC)

Description
License to sell wares on the racetrack.

**Type** (License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)

License

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Number issued annually</b>	27
<b>Number renewed annually</b>	
<b>Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?</b>	
<b>Education or training requirements</b>	No
<b>Experience requirements</b>	None



**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Examination requirements</b> (<i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i>)</p>	
<p><b>Continuing education requirements</b> (<i>Including a description of the curriculum and the process of setting it.</i>)</p>	
<p><b>Initial fee</b></p>	<p>\$15.00</p>
<p><b>Duration</b></p>	<p>All licenses expire on December 31.</p>
<p><b>Renewal fee</b> (<i>If different from initial fee, please explain why.</i>)</p>	
<p><b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b></p>	<p>The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.</p>
<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	<p>3769.22 National Racing Compact</p>

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b>	Persons may take work in their occupations without an OSRC license so long as they do not work at a racetrack.
<b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b>	3769-2-18 Applications for licenses and 3769-2-26 License refused, revoked, suspended state what parameters the Commission may use when determining to issue, refuse, revoke, or suspend a license.
<b>Other information</b> ( <i>Significant attributes or prerequisites to licensure not addressed in this chart.</i> )	

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

Licensees appear before the Stewards or Judges in the first step of the administrative process. Licensees have the right to appeal a ruling which follows R.C.119 administrative adjudications.

**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

The Commission is fiscally self-sustained in part through licensure fees.

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

15 U.S.C. Ch57A. The Horseracing Integrity and Safety Act.

**What is the harm that the regulation seeks to prevent? (See, R.C. 4798.02(B).)**

This regulation seeks to prevent harm to the public and instill confidence in the racing product within a highly regulated industry that has vast potential for undesirable persons to undermine the integrity of horseracing.

**Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?**

Yes, by preventing undesirable persons from participating in racing, and no.

**Are there any changes the Commission would like to see implemented?**

### **Supply sales owner survey responses (RAC)**

**Description**

An Owner of a company that sells wares on the racetrack.

**Type** (License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)

License

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Number issued annually</b>	17
<b>Number renewed annually</b>	
<b>Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?</b>	
<b>Education or training requirements</b>	
<b>Experience requirements</b>	No

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Examination requirements</b> (<i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i>)</p>	<p>None</p>
<p><b>Continuing education requirements</b> (<i>Including a description of the curriculum and the process of setting it.</i>)</p>	
<p><b>Initial fee</b></p>	<p>\$50.00</p>
<p><b>Duration</b></p>	<p>All licenses expire on December 31.</p>
<p><b>Renewal fee</b> (<i>If different from initial fee, please explain why.</i>)</p>	
<p><b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b></p>	<p>The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.</p>
<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b>	Persons may take work in their occupations without an OSRC license so long as they do not work at a racetrack.
<b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b>	3769-2-18 Applications for licenses and 3769-2-26 License refused, revoked, suspended state what parameters the Commission may use when determining to issue, refuse, revoke, or suspend a license.
<b>Other information</b> ( <i>Significant attributes or prerequisites to licensure not addressed in this chart.</i> )	

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

Licensees appear before the Stewards or Judges in the first step of the administrative process. Licensees have the right to appeal a ruling which follows R.C.119 administrative adjudications.

**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

The Commission is fiscally self-sustained in part through licensure fees.

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

15 U.S.C. Ch57A. The Horseracing Integrity and Safety Act.

**What is the harm that the regulation seeks to prevent? (See, R.C. 4798.02(B).)**

This regulation seeks to prevent harm to the public and instill confidence in the racing product within a highly regulated industry that has vast potential for undesirable persons to undermine the integrity of horseracing.



Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?

Yes, by preventing undesirable persons from participating in racing, and no.

Are there any changes the Commission would like to see implemented?

### Surrounding state comparison (LSC)

Suppliers (Supply Sales – Owner and Supply Salesman)						
	Ohio	Indiana	Kentucky	Michigan	Pennsylvania	West Virginia
Type of regulation	License (R.C. 3769.03; O.A.C. 3769-2-18).	License (Ind. Code 4-31-6; 71 Ind. Admin. Code 5 and 5.5).	License (Ky. Rev. Stat. 230.310; 810 Ky. Admin. Regs. 3:020).	License (Mich. Comp. Laws 431.308 and 431.316; Mich. Admin. Code R. 431.1035).	License (3 Pa. Cons. Stat. 9323; 7 Pa. Code 185.1, 203.1, and 303.1).	Permit (W. Va. Code 19-23-2, 19-23-7, and 19-23-8; W. Va. Code R. 178-1-24).

Suppliers (Supply Sales – Owner and Supply Salesman)						
	Ohio	Indiana	Kentucky	Michigan	Pennsylvania	West Virginia
Education or training	N/A	N/A	N/A	N/A	N/A	N/A
Experience	N/A	N/A	N/A	N/A	N/A	N/A
Exam	N/A	N/A	N/A	N/A	N/A	N/A
Continuing education	N/A	N/A	N/A	N/A	N/A	N/A
Initial licensure fee	For supply sales – owner, \$100. For supply salesman, \$15. <i>(O.A.C. 3769-2-24 and 3769-12-24.)</i>	For vendor or contractor, \$100. For vendor employee, \$15. <i>(Indiana Horse Racing Commission, <a href="#">License Fees.</a>)</i>	For vendor, \$25 to \$50 depending on horse breed. For vendor employee, \$25. <i>(810 Ky. Admin. Regs. 3:020.)</i>	For vendor, \$25. For vendor employee, \$10. <i>(Michigan Gaming Control Board Horse Racing Section, <a href="#">License Application Fees (PDF).</a>)</i>	For vendor, \$60. For vendor employee, \$30. <i>(Pennsylvania State Horse Racing Commission, <a href="#">License Fee Schedule (PDF).</a>)</i>	For vendor, \$40. For all others, \$20. <i>(W. Va. Code R. Table 178-1 A.)</i>
License duration	One year <i>(R.C. 3769.03).</i>	One year <i>(Ind. Code 4-31-6-4).</i>	One year <i>(810 Ky. Admin. Regs. 3:020).</i>	One year <i>(Michigan Gaming Control Board Horse Racing Section, <a href="#">Occupational License Application (PDF).</a>)</i>	Three years <i>(3 Pa. Cons. Stat. 9323).</i>	One year <i>(W. Va. Code 19-23-8).</i>

Suppliers (Supply Sales – Owner and Supply Salesman)						
	Ohio	Indiana	Kentucky	Michigan	Pennsylvania	West Virginia
Renewal fee	Same as initial fee.	Same as initial fee.	Same as initial fee.	Same as initial fee.	Same as initial fee.	Same as initial fee.

## Special licenses

### Special A survey responses (RAC)

Description
This is a catch all for all professional licenses not specifically named.
Type (License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)
License

If the regulation is a registration, certification, or license requirement, please complete the following:	
<b>Number issued annually</b>	34
<b>Number renewed annually</b>	
<b>Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?</b>	
<b>Education or training requirements</b>	Depends on nature of license
<b>Experience requirements</b>	Depends on nature of license
<b>Examination requirements</b> ( <i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i> )	
<b>Continuing education requirements</b> ( <i>Including a description of the curriculum and the process of setting it.</i> )	
<b>Initial fee</b>	\$100.00
<b>Duration</b>	All licenses expire on December 31.

If the regulation is a registration, certification, or license requirement, please complete the following:	
<b>Renewal fee</b> <i>(If different from initial fee, please explain why.)</i>	
<b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b>	The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.
<b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b>	3769.22 National Racing Compact
<b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b>	
<b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b>	3769-2-18 Applications for licenses and 3769-2-26 License refused, revoked, suspended state what parameters the Commission may use when determining to issue, refuse, revoke, or suspend a license.
<b>Other information</b> <i>(Significant attributes or prerequisites to licensure not addressed in this chart.)</i>	

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

Licensees appear before the Stewards or Judges in the first step of the administrative process. Licensees have the right to appeal a ruling which follows R.C.119 administrative adjudications.

**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

The Commission is fiscally self- sustained in part through licensure fees.

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

15 U.S.C. Ch57A. The Horseracing Integrity and Safety Act.

**What is the harm that the regulation seeks to prevent? (See, R.C. 4798.02(B).)**

This regulation seeks to prevent harm to the public and instill confidence in the racing product within a highly regulated industry that has vast potential for undesirable persons to undermine the integrity of horseracing.

**Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?**

Yes, by preventing undesirable persons from participating in racing, and no.

**Are there any changes the Commission would like to see implemented?**

### Special B survey responses (RAC)

Description
This is a catch all for all technical licenses not specifically named.

Type <i>(License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)</i>
License

If the regulation is a registration, certification, or license requirement, please complete the following:	
<b>Number issued annually</b>	114
<b>Number renewed annually</b>	
<b>Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?</b>	



If the regulation is a registration, certification, or license requirement, please complete the following:	
<b>Education or training requirements</b>	Depends on license description
<b>Experience requirements</b>	Depends on license description
<b>Examination requirements</b> ( <i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i> )	
<b>Continuing education requirements</b> ( <i>Including a description of the curriculum and the process of setting it.</i> )	
<b>Initial fee</b>	\$50.00
<b>Duration</b>	All licenses expire on December 31.
<b>Renewal fee</b> ( <i>If different from initial fee, please explain why.</i> )	
<b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b>	The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	<p>3769.22 National Racing Compact</p>
<p><b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b></p>	
<p><b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b></p>	<p>3769-2-18 Applications for licenses and 3769-2-26 License refused, revoked, suspended state what parameters the Commission may use when determining to issue, refuse, revoke, or suspend a license.</p>
<p><b>Other information</b> (<i>Significant attributes or prerequisites to licensure not addressed in this chart.</i>)</p>	

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

Licensees appear before the Stewards or Judges in the first step of the administrative process. Licensees have the right to appeal a ruling which follows R.C.119 administrative adjudications.

**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

The Commission is fiscally self- sustained in part through licensure fees

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

15 U.S.C. Ch57A. The Horseracing Integrity and Safety Act.

**What is the harm that the regulation seeks to prevent? (See, R.C. 4798.02(B).)**

This regulation seeks to prevent harm to the public and instill confidence in the racing product within a highly regulated industry that has vast potential for undesirable persons to undermine the integrity of horseracing.

**Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?**

Yes, by preventing undesirable persons from participating in racing, and no.

**Are there any changes the Commission would like to see implemented?**

### **Special C survey responses (RAC)**

**Description**

This is a catch all for all clerical/miscellaneous licenses not specifically named.

**Type** (License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)

License

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Number issued annually</b>	84
<b>Number renewed annually</b>	
<b>Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?</b>	
<b>Education or training requirements</b>	Depends on license
<b>Experience requirements</b>	Depends on license

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Examination requirements</b> (<i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i>)</p>	
<p><b>Continuing education requirements</b> (<i>Including a description of the curriculum and the process of setting it.</i>)</p>	
<p><b>Initial fee</b></p>	<p>\$15.00</p>
<p><b>Duration</b></p>	<p>All licenses expire on December 31.</p>
<p><b>Renewal fee</b> (<i>If different from initial fee, please explain why.</i>)</p>	
<p><b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b></p>	<p>The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.</p>
<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	<p>3769.22 National Racing Compact</p>

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?</b>	
<b>Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?</b>	3769-2-18 Applications for licenses and 3769-2-26 License refused, revoked, suspended state what parameters the Commission may use when determining to issue, refuse, revoke, or suspend a license.
<b>Other information</b> ( <i>Significant attributes or prerequisites to licensure not addressed in this chart.</i> )	

**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

Licensees appear before the Stewards or Judges in the first step of the administrative process. Licensees have the right to appeal a ruling which follows R.C.119 administrative adjudications.

**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

The Commission is fiscally self-sustained in part through licensure fees.

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

15 U.S.C. Ch57A. The Horseracing Integrity and Safety Act.

**What is the harm that the regulation seeks to prevent? (See, R.C. 4798.02(B).)**

This regulation seeks to prevent harm to the public and instill confidence in the racing product within a highly regulated industry that has vast potential for undesirable persons to undermine the integrity of horseracing.



Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?

Yes, by preventing undesirable persons from participating in racing, and no.

Are there any changes the Commission would like to see implemented?

### **Restricted license – special D survey responses (RAC)**

**Description**

See Special D- This license is restricted to fairs

**Type** (License, specialty license for medical reimbursement, government certification, registration, bonding or insurance, inspection, or process regulation. See R.C. 4798.01 for relevant definitions.)

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**If the regulation is a registration, certification, or license requirement, please complete the following:**

<b>Number issued annually</b>	
<b>Number renewed annually</b>	
<b>Have there been significant increases or decreases in active registrations, certifications or licenses in the preceding six years?</b>	
<b>Education or training requirements</b>	
<b>Experience requirements</b>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

<p><b>Examination requirements</b> (<i>Who administers the exam? How is the exam and administrator selected? What fees are charged? Does the Commission receive any proceeds of those fees? If so, how are the proceeds used?</i>)</p>	
<p><b>Continuing education requirements</b> (<i>Including a description of the curriculum and the process of setting it.</i>)</p>	
<p><b>Initial fee</b></p>	<p>\$10.00</p>
<p><b>Duration</b></p>	<p>All licenses expire on December 31.</p>
<p><b>Renewal fee</b> (<i>If different from initial fee, please explain why.</i>)</p>	
<p><b>Does the Commission recognize uniform licensure requirements or allow for reciprocity?</b></p>	<p>The OSRC recognizes reciprocity. If the licensee has a license in another state, they have to provide validation that their license is in good standing and complete the required criminal background check for Ohio.</p>
<p><b>Are there any similar national registrations, certifications, or licenses? Could they be used as a substitute for the state regulation?</b></p>	

**If the regulation is a registration, certification, or license requirement, please complete the following:**

**Are there any circumstances in which an individual may practice elements of the occupation without being regulated by the Commission?**

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**Is the Commission permitted to exercise discretion in determining whether to register, certify, or license an individual?**

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**Other information** (*Significant attributes or prerequisites to licensure not addressed in this chart.*)

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**Oversight and disciplinary authority of the Commission respecting individuals engaged in the occupation.**

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**How much revenue is derived from fees charged by the Commission to individuals engaged in the occupation (such as license and renewal fees)? How is that revenue used?**

**Describe any federal regulations that apply to the occupation. Does federal law require the state to regulate the occupation?**

**What is the harm that the regulation seeks to prevent? (*See, R.C. 4798.02(B).*)**

Is the regulation effective at preventing the harm described above? Are there other, less restrictive ways to prevent the harm?

Are there any changes the Commission would like to see implemented?

**Surrounding state comparison (LSC)**

*Special Licenses (Special A – Professional, Special B – Technical, Special C – Clerical/Miscellaneous, and Restricted Special D – Miscellaneous)*

	Ohio	Indiana	Kentucky	Michigan	Pennsylvania	West Virginia
Type of regulation	License (R.C. 3769.03; O.A.C. 3769-2-18).	N/A	License (Ky. Rev. Stat. 230.310; 810 Ky. Admin. Regs. 3:020).	N/A	N/A	N/A
Education or training	N/A	N/A	N/A	N/A	N/A	N/A

<b>Special Licenses</b> ( <i>Special A – Professional, Special B – Technical, Special C – Clerical/Miscellaneous, and Restricted Special D – Miscellaneous</i> )						
	<b>Ohio</b>	<b>Indiana</b>	<b>Kentucky</b>	<b>Michigan</b>	<b>Pennsylvania</b>	<b>West Virginia</b>
Experience	N/A	N/A	N/A	N/A	N/A	N/A
Exam	N/A	N/A	N/A	N/A	N/A	N/A
Continuing education	N/A	N/A	N/A	N/A	N/A	N/A
Initial licensure fee	For Special A – Professional, \$100. For Special B – Technical, \$50. For Special C – Clerical/Miscellaneous, \$15. For Restricted Special D – Miscellaneous, \$10. <i>(O.A.C. 3769-2-24 and 3769-12-24.)</i>	N/A	For special event employee, \$10 <i>(810 Ky. Admin. Regs. 3:020).</i>	N/A	N/A	N/A
License duration	One year <i>(R.C. 3769.03).</i>	N/A	One year <i>(810 Ky. Admin. Regs. 3:020).</i>	N/A	N/A	N/A

**Special Licenses** (*Special A – Professional, Special B – Technical, Special C – Clerical/Miscellaneous, and Restricted Special D – Miscellaneous*)

	<b>Ohio</b>	<b>Indiana</b>	<b>Kentucky</b>	<b>Michigan</b>	<b>Pennsylvania</b>	<b>West Virginia</b>
Renewal fee	Same as initial fee.	N/A	Same as initial fee.	N/A	N/A	N/A